



**Santa Cruz County Regional
Transportation Commission
Budget and Administration/Personnel
Committee**

AGENDA

**Thursday, April 11, 2013
3:00 pm**

NOTE LOCATION

Santa Cruz County CAO's Conference Room
701 Ocean St., Santa Cruz

1. Introductions
2. Additions or changes to consent and regular agenda
3. Oral communications

CONSENT AGENDA

4. Approve minutes of the February 14, 2013 meeting

REGULAR AGENDA

5. Election of 2013 B&A/P Committee chair and vice-chair – oral report
(*Yesenia Parra, Administrative Services Officer*)
6. Amendments to the FY12-13 Budget & Work Program
(*Luis Mendez, Deputy Director*)
 - a. Staff report
 - b. FY12-13 budget pages with proposed amendments
7. Fiscal Year (FY) 2013-14 Revised Proposed Budget
(*Luis Mendez, Deputy Director*)
 - a. Staff report
 - b. Proposed revisions to FY 2013-14 RTC budget recommended by the B&A/P Committee
 - c. Proposed revised FY 2013-14 RTC budget
 - d. Actual and estimated Transportation Development Act revenues

8. Adjournment

The next Budget and Administration/Personnel Committee meeting is scheduled for Thursday, June 13, 2013 at 3:00 p.m. in the RTC conference room, 1523 Pacific Ave, Santa Cruz, CA

Distribution:

Members/Alternates:

Commissioner John Leopold
Commission Alternate David Reid
Commissioner Greg Caput
Commission Alternate Tony Gregorio
Commissioner Zach Friend
Commission Alternate Patrick Mulhearn
Commissioner Neal Coonerty
Commission Alternate Andy Schiffrin
Commissioner Bruce McPherson
Commission Alternate Virginia Johnson
Commissioner Eduardo Montesino
Commission Alternate Daniel Dodge

Others

Rahn Garcia, County Counsel
Les White, SCMTD
Maura Twomey, AMBAG
Piet Canin, Ecology Action
Lois Connell, Volunteer Center
Sam Storey, Community Bridges
Katie LeBaron, Santa Cruz County Health Services Agency

RTC Staff

George Dondero
Luis Mendez
Daniel Nikuna
Yesenia Parra

HOW TO REACH US

*Santa Cruz County Regional Transportation Commission
1523 Pacific Avenue, Santa Cruz, CA 95060
phone: (831) 460-3200 / fax (831) 460-3215*

*Watsonville Office
275 Main Street, Suite 450, Watsonville. CA 95076
(831) 768-8012
email: info@sccrtc.org / website: www.sccrtc.org*

HOW TO STAY INFORMED ABOUT RTC MEETINGS, AGENDAS & NEWS

Broadcasts: *Many of the meetings are broadcast live. Meetings are cablecast by Community Television of Santa Cruz. Community TV's channels and schedule can be found online (www.communitytv.org) or by calling (831) 425-8848.*

Agenda packets: *Complete agenda packets are available at the RTC office, on the RTC website (www.sccrtc.org), and at the following public libraries:*

- Aptos Branch Library
- Central Branch Library
- Watsonville Library
- Branciforte Library
- Scotts Valley Library

For information regarding library locations and hours, please check online at www.santacruzpl.org or www.watsonville.lib.ca.us.

On-line viewing: The SCCRTC encourages the reduction of paper waste and therefore makes meeting materials available online. Those receiving paper agendas may sign up to receive email notification when complete agenda packet materials are posted to our website by sending a request to info@sccrtc.org. Agendas are typically posted 5 days prior to each meeting.

Newsletters: To sign up for E-News updates on specific SCCRTC projects, go to www.sccrtc.org/enews.

HOW TO REQUEST

❖ ACCOMMODATIONS FOR PEOPLE WITH DISABILITIES

The Santa Cruz County Regional Transportation Commission does not discriminate on the basis of disability and no person shall, by reason of a disability, be denied the benefits of its services, programs, or activities. This meeting location is an accessible facility. If you wish to attend this meeting and require special assistance in order to participate, please contact RTC staff at 460-3200 (CRS 800/735-2929) at least three working days in advance of this meeting to make arrangements. People with disabilities may request a copy of the agenda in an alternative format. As a courtesy to those person affected, Please attend the meeting smoke and scent-free.

❖ SERVICIOS DE TRADUCCIÓN/ TRANSLATION SERVICES

Si gusta estar presente o participar en esta junta de la Comisión Regional de Transporte del Condado de Santa Cruz y necesita información o servicios de traducción al español por favor llame por lo menos con tres días laborables de anticipo al (831) 460-3200 para hacer los arreglos necesarios. (Spanish language translation is available on an as needed basis.) Please make advance arrangements (at least three days in advance) by calling (831) 460-3200.



**Santa Cruz County Regional
Transportation Commission
Budget and Administration/Personnel
Committee**

MINUTES

**Thursday, February 14, 2013
3:30 pm**

Santa Cruz County Regional Transportation Commission
1523 Pacific Ave., Santa Cruz

The meeting was called to order by Chair Schiffrin at 3:32pm

Members Present

Commissioner Alternate David Reid	Commissioner Zach Friend
Commissioner Greg Caput	Commissioner Eduardo Montesino
Commissioner Alternate Andy Schiffrin	Commissioner Bruce McPherson

RTC Staff

George Dondero
Luis Mendez
Daniel Nikuna
Yesenia Parra

1. Introductions-Self introductions were made.
2. Additions or changes to consent and regular agenda-Deputy Director Luis Mendez noted that there were replacement pages for item 5.
3. Oral communications-none

**CONSENT AGENDA
(Montesino, Friend) unanimous**

4. Accepted first and second quarter FY12-13 warrants and credit card reports

REGULAR AGENDA

5. Amendments to the FY12-13 Budget & Work Program

Deputy Director Luis Mendez said that the proposed budget amendments included: carry- over fund corrections, work trend adjustments and funding for project needs. Projects impacted by the amendments include the 511 Traveler Information System and the Monterey Bay Sanctuary Scenic Trail as well as the Rural Planning Assistant funds.

Deputy Director Mendez responded to questions regarding differences in the approved budget versus the proposed budget.

Commissioner Montesino moved and Commissioner Alternate Reid seconded the staff recommendation that the Budget and Administration/Personnel (B&A/P) Committee recommend that the Regional Transportation Commission (RTC) approve the FY 12-13 Budget and Work Program amendments.

The motion passed unanimously

6. Fiscal Year FY13-14 Proposed Budget

Deputy Director Luis Mendez reminded the Committee that the RTC prepares a proposed budget at the beginning of the year to present to the B&A/P Committee for their consideration. The purpose of the early proposal is so that the recipients of TDA funds are informed of their TDA apportionment early enough to include it in their budgets.

Commissioner Caput arrived.

Mr. Mendez noted that \$6.4 million in TDA revenues is estimated for this fiscal year. Most of the revenues are allocated to METRO and the remaining funds go to local jurisdictions for planning and administration. He reported that in the past years, allocations have been reduced due to lower TDA revenues. However, the proposed FY13-14 budget includes an increase in apportionments to all recipients with the exception of Bike to Work and the Community Traffic Safety Coalition because their apportionments were increased in FY11-12 to the pre-recession levels. Bike to Work also received an augmentation of \$10,000 in FY12-13.

Commissioner Friend departed.

Mr. Mendez summarized several other changes and additions to the proposed budget to include the loss of funding from the Congestion Mitigation and Air Quality Improvement Program (CMAQ) funds for the Commute Solution program at the end of the current fiscal year.

However, the program will continue to be funded by Regional Surface Transportation Program Exchange funds (RSTPX) as approved by RTC. There will also be some carry over funds from the Park and Ride lots and carpool incentive programs that will be part of the Commute Solutions budget. He also noted that the RTC approved Regional Surface Transportation Program funds (RSTP) for the Freeway Service Patrol Highway 1 beat. He said that there will also be some carry over funds from the Highway 1 Auxiliary Lane Project that will be used for anticipated work in FY13-14 that includes the release of the draft environmental document which will require more staff and consultant work.

Mr. Mendez also discussed the TDA and RTC operating reserve funds. He said that the RTC Rules and Regulations state that the TDA reserve fund should be 8% of anticipated TDA funding. He also noted that the RTC established a 30% reserve fund goal for the RTC operating reserve, where 22% is restricted and 8% is not. He noted that there were not sufficient funds to reach the 30% goal so it was funded at 17%. When the carry over funds are determined for FY12-13, the RTC can determine if any carry over funds can be allocated to the RTC operating reserve to meet the established 30%.

Responding to questions, Mr. Mendez said that the Bike Signage work is anticipated to resume in FY13-14. He also said that staff is working to find a consultant or work with the County of Santa Cruz to assist with rail property management.

Staff was directed to provide a report on operating cost vs. lease revenue for the Rail Line.

Commissioner Alternate Reid moved and Commissioner Montesino seconded the staff recommendation that the Budget and Administration/Personnel (B&A/P) Committee recommend that the Santa Cruz County Regional transportation Commission (RTC) approve the attached proposed FY13-14 budget.

The motion passed unanimously.

Mr. Mendez asked if committee members were interested in continuing to serve on this committee. All members would like to continue on the committee. Mr. Mendez said that members will be officially reappointed to serve on this committee at the March RTC meeting.

Commissioner Schiffrin requested that the B&A/P committee meetings be moved to the CAO's office and that the start time be changed to 3:00pm. The members agreed to the change.

7. Adjournment-meeting adjourned at 4:16

The next Budget and Administration/Personnel Committee meeting is scheduled for Thursday, April 11, 2013 at 3:30 p.m. at the CAO's conference room 701 Ocean Street Santa Cruz CA

Respectfully submitted,

Yesenia Parra, Staff

ATTENDEES

Les White
Virginia Johnson

SCMTD
Supervisor McPherson's office

AGENDA: April 11, 2013

TO: Budget and Administration/Personnel (B&A/P) Committee
FROM: Luis Pavel Mendez, Deputy Director
RE: Amendments to the FY12-13 Budget & Work Program

RECOMMENDATIONS

Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee recommend that the Regional Transportation Commission (RTC) approve the FY 2012-13 Budget and Work Program as shown on Attachment 1.

BACKGROUND

At its October 2012 meeting, the Regional Transportation Commission (RTC) approved the major fall amendment to the fiscal year (FY) 2012-13 budget and work program and has made some project specific amendments since then. Due to fiscal year expenditure corrections on the Highway 1 auxiliary lanes construction project and work trends, it is necessary to consider further amendments to the FY 2012-13 budget and work program.

DISCUSSION

Highway 1 Construction Project Expenditures

As the RTC Fiscal Officer prepared the mid-year financial statements for FY 2012-13, he discovered that some FY 2011-12 expenditures were budgeted in FY 2012-13 and vice-versa. The proposed budget changes are not an increase or decrease to the overall expenditures and revenues for the project but simply ensure that expenditures for FY 2012-13 are included in the budget.

Work Trend Adjustments

The RTC secured a planning grant to produce a unified corridor investment plan analyzing potential use of Highway 1, Soquel Drive and the Santa Cruz Branch Rail Line to help meet certain transportation needs. Staff anticipated beginning that work in April 2013; however, work activities associated with older funding grants gained more momentum than previously anticipated. Therefore, staff proposes focusing on completing the work associated with the older funds first and shifting the unified corridor investment plan work to FY 2013-14. These work trend adjustments shift staffing resources among projects, work duties and fiscal years but do not alter the overall staffing budget previously approved by the RTC.

All of the proposed amendments to FY 2012-13 budget pages and line items are noted on Attachment 1. **Staff recommends that the B&A/P Committee recommend that the**

Regional Transportation Commission approved the proposed amended FY 2012-13 budget and work program as shown on Attachment 1.

SUMMARY

Due to fiscal year expenditure corrections on the Highway 1 auxiliary lanes construction project and work trends, it is necessary to consider amendments to the FY 2012-13 budget and work program. Therefore, staff recommends that the Budget and Administration/Personnel Committee recommend that the Regional Transportation Commission approved the proposed amended FY 2012-13 budget and work program as shown on Attachment 1.

Attachments:

1. FY 2012-13 budget pages with proposed amendments

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PROJECTED REVENUE SUMMARY
 FY 2012-2013 BUDGET

ATTACHMENT 1

1 PROJECTED REVENUE SUMMARY

SOURCES	FY12-13 APPROVED 03/21/13	FY12-13 PROPOSED 04/11/13	DIFFERENCE	NOTE
5 Transportation Development Act (TDA):				
6 Auditor's 1/4 Cent Sales Tax Estimate	7,216,348	7,216,348	0	
7 FY 2011-2012 Surplus Revenues Budgeted	394,728	394,728	0	
8 Interest Estimate	8,000	8,000	0	
9 <i>Total TDA Apportioned</i>	7,619,076	7,619,076	0	
11 State Transit Assistance (STA)	3,305,170	3,305,170	0	
12 Planning Grant Funds/Others:				
13 Rural Planning Assistance (RPA)	322,411	322,411	0	
14 STIP for Planning (PPM)	163,537	163,537	0	
15 RSTP Exchange - Eco Act, CTSC, & Bike Signage	39,800	39,800	0	
16 FHWA - Earmark	395,372	395,372	0	
17 FHWA - Planning (PL) - from AMBAG	235,411	250,411	15,000	- Greater level of work in this FY than previously estimated
18 Coastal Conservancy	250,000	250,000	0	
19 SCMTD	4,136	4,136	0	
20 New Freedom Grant	165,000	165,000	0	
21 SGC Grant	50,084	58,573	8,489	- Greater level of work in this FY than previously estimated
22 Transit intern and planning grants	137,413	118,622	-18,791	- Work shifted to FY 2013-14 to accommodate other work in this fiscal year
23 Other Revenues	9,750	9,750	0	
24 RTC Funds Budgeted	332,214	327,516	-4,698	- Work shifted to FY 2013-14 to accommodate other work in this fiscal year
25 <i>Planning/Other Total</i>	2,105,128	2,105,128	0	
26 Rideshare:				
27 RSTP Exchange & AMBAG funds	130,981	130,981	0	
28 CMAQ - Rideshare	186,960	186,960	0	
29 AB2766	68,784	68,784	0	
30 Service Authority for Freeway Emergency (SAFE):				
31 DMV Fees and interest	239,000	239,000	0	
32 Other - MTC SAFE and Partnership Planning Grant	140,290	140,290	0	
33 SAFE Funds Budgeted	83,350	83,350	0	
34 Freeway Service Patrol (FSP):				
35 Caltrans Grant	206,370	206,370	0	
36 Hwy 1 Aux Lanes project TMP funds	120,000	120,000	0	
37 FSP Reserves Budgeted and Interest	70,630	70,630	0	
38 Rail/Trail Authority:				
39 Proposition 116 and STIP	19,550,000	19,550,000	0	
40 Leases and Union Pacific	446,500	446,500	0	
41 Federal Earmark and RSTP Exchange	431,584	431,584	0	
42 Transfer - in from TC Planning	110,000	110,000	0	
43 Rail/Trail Funds Budgeted	114,860	114,860	0	
44 Highway 1:				
45 RSTP Exchange	1,961,056	1,961,056	0	
46 Federal Earmark	0	0	0	
47 STIP	1,537,236	1,749,312	212,076	- Funds expended in FY 2012-13 not FY 2011-12
48 CMIA (state bond)	10,462,198	10,463,342	1,144	- Funds expended in FY 2012-13 not FY 2011-12
49 RSTP Exchange Program	7,879,390	7,879,390	0	
50 TOTAL	56,768,563	56,981,783	213,220	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
 APPORTIONMENT SUMMARY
 FY 2012-2013 BUDGET**

1

2 **APPORTIONMENT SUMMARY**

3

CLAIMANTS	FY12-13 APPROVED 03/21/13	FY12-13 PROPOSED 04/11/13	DIFFERENCE	NOTE
Transportation Development Act (TDA): (1)				
TDA Reserve Fund	22,253	22,253	0	
RTC Reserve Fund	250,000	250,000	0	
SCCRTC:				
TDA Administration	475,657	475,657	0	0.00%
TDA Planning: General Planning	280,186	280,186	0	0.00%
Bike to Work	50,000	50,000	0	0.00%
Bike & Pedestrian Safety (CTSC)	100,000	100,000	0	0.00%
<i>Subtotal</i>	905,843	905,843	0	0.00%
SCMTD				
Specialized Transit (Community Bridges/CTSA)	5,507,038	5,507,038	0	0.00%
Volunteer Center	541,042	541,042	0	0.00%
City of Capitola	64,410	64,410	0	0.00%
City of Santa Cruz - Non Transit	12,327	12,327	0	0.00%
City of Scotts Valley	76,515	76,515	0	0.00%
City of Watsonville	14,377	14,377	0	0.00%
County of Santa Cruz	63,740	63,740	0	0.00%
<i>Subtotal</i>	6,440,980	6,440,980	0	0.00%
TOTAL TDA APPORTIONED	7,619,076	7,619,076	0	
State Transit Assistance (STA) - SCMTD	3,305,170	3,305,170	0	
Planning Grant Funds/Others:	2,105,128	2,105,128	0	
Rideshare	386,725	386,725	0	
SAFE	462,640	462,640	0	
Freeway Service Patrol (FSP)	397,000	397,000	0	
Rail/Trail Authority	20,652,944	20,652,944	0	
Highway 1	13,960,490	14,173,710	213,220	- Funds expended in FY 2012-13 not FY 2011-12
RSTP Exchange Program	7,879,390	7,879,390	0	
TOTAL	56,768,563	56,981,783	213,220	

46

47 (1) TDA apportionments are based on the formulas in the RTC's Rules and Regulations. Balance not used for Planning and Administration is allocated to other TDA claimants as follows:

48 85.5% is appropriated to SCMTD, 8.4% to Community Bridges and 1% to the Volunteer Center; the remaining funds are proportionally allocated to cities and the County according to population.

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
BUDGET SUMMARY
FY 2012-2013 BUDGET**

1

2 **BUDGET SUMMARY**

	FY11-12 ADOPTED DETAIL			FY12-13 ADOPTED DETAIL			FY12-13 PROPOSED DETAIL		
	TOTAL FY 11-12 ADOPTED 06/12	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY12-13 ADOPTED 03/21/13	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY12-13 PROPOSED 04/11/13	SALARIES & BENEFITS (1)	SERVICES & SUPPLIES
OPERATIONS PROGRAMS									
9 SCCRTC - Administration	648,350	201,000	447,350	718,250	201,000	517,250	718,250	201,000	517,250
10 Rideshare	416,991	180,000	236,991	386,725	180,000	206,725	386,725	180,000	206,725
11 SAFE	598,633	140,000	458,633	462,640	150,000	312,640	462,640	150,000	312,640
12 Freeway Service Patrol	362,000	45,000	317,000	397,000	60,000	337,000	397,000	60,000	337,000
13 SCCRTC Planning	2,821,434	996,654	1,824,780	2,292,721	1,039,679	1,253,042	2,292,721	1,039,679	1,253,042
14									
15 Total Operations Programs	4,847,408	1,562,654	3,284,754	4,257,336	1,630,679	2,626,657	4,257,336	1,630,679	2,626,657
16									
17 CAPITAL PROGRAMS									
18									
19 Rail/Trail Authority	21,007,359	100,000	20,907,359	20,652,944	140,000	20,512,944	20,652,944	140,000	20,512,944
20 Highway 1 Env Docs & Design	2,807,022	150,832	2,656,190	1,961,056	75,000	1,886,056	1,961,056	75,000	1,886,056
21 Highway 1 Construction	15,933,000	200,000	15,733,000	11,999,434	239,586	11,759,848	12,212,654	239,586	11,973,068
22									
23 Total Capital Programs	39,747,381	450,832	39,296,549	34,613,434	454,586	34,158,848	34,826,654	454,586	34,372,068
24									
25 TOTAL ALL PROGRAMS	44,594,789	2,013,486	42,581,303	38,870,770	2,085,265	36,785,505	39,083,990	2,085,265	36,998,725

26

**BUDGET COMPARISON
PRIOR YEAR AND BUDGET YEAR**

27

PROGRAM	FY 11-12 ADOPTED 06/12	FY 11-12 ACTUAL 6/30/11	FY 11-12 ACTUAL LESS ADOPTED 06/12	FY12-13 ADOPTED 03/21/13	FY 11-12 ADOPTED 06/12	FY12-13 ADOPTED VS FY 11-12 ADOPTED	FY12-13 PROPOSED 04/11/13	FY12-13 ADOPTED 03/21/13	FY12-13 PROPOSED VS FY12-13 ADOPTED
	35 SCCRTC - Administration	648,350	495,198	(153,152)	718,250	648,350	69,900	718,250	718,250
36 Rideshare	416,991	179,767	(237,224)	386,725	416,991	(30,266)	386,725	386,725	-
37 SAFE	598,633	397,579	(201,054)	462,640	598,633	(135,993)	462,640	462,640	-
38 Freeway Service Patrol	362,000	290,206	(71,794)	397,000	362,000	35,000	397,000	397,000	-
39 Rail/Trail Authority	21,007,359	469,161	(20,538,198)	20,652,944	21,007,359	(354,415)	20,652,944	20,652,944	-
40 Highway 1 Env Docs & Design	2,807,022	921,236	(1,885,786)	1,961,056	2,807,022	(845,966)	1,961,056	1,961,056	-
41 Highway 1 Construction	15,933,000	3,720,462	(12,212,538)	11,999,434	15,933,000	(3,933,566)	12,212,654	11,999,434	213,220
42 SCCRTC Planning	2,821,434	1,589,272	(1,232,162)	2,292,721	2,821,434	(528,713)	2,292,721	2,292,721	-
43									
44 Total Operating Budget	44,594,789	8,062,881	(36,531,908)	38,870,770	44,594,789	(5,724,019)	39,083,990	38,870,770	213,220

45

46

Notes: (1) Includes staffing shown on page 16

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
HIGHWAY 1 CONSTRUCTION
FY 2012-2013 BUDGET**

1 **HWY 1 CONSTRUCTION:** 722200

2 3 4	WORK ELEMENT #683	FY12-13 APPROVED 03/21/13	FY12-13 PROPOSED 04/11/13	DIFFERENCE	NOTE
5	REVENUES:				
6	STIP Construction	1,537,236	1,749,312	212,076	- Funds expended in FY 2012-13 not FY 2011-12
7	CMIA Construction	10,462,198	10,463,342	1,144	- Funds expended in FY 2012-13 not FY 2011-12
8	TOTAL REVENUES	11,999,434	12,212,654	213,220	
9	EXPENDITURES:				
10	Salaries and Benefits	239,586	239,586	0	
11					
12	Services and Supplies:				
13	Hwy 1 Morrissey-Soquel Aux Lane Construction:				
14	Construction contract	8,167,374	8,167,374	0	
15	Supplemental construction activity	832,000	832,000	0	
16	Traffic management	445,000	420,041	-24,959	- For work done in FY 2011-12
17	Traffic enforcement	145,468	145,468	0	
18	Environmental mitigations	0	400,000	400,000	- Invoice received in late FY 2011-12 and paid in FY 2012-13
19	Miscellaneous other	255,325	255,325	0	
20	Construction management consultant	1,471,224	1,323,882	-147,342	- For work done in FY 2011-12
21	Construction design support	163,717	149,238	-14,479	- For work done in FY 2011-12
22	On Call Consultants	97,326	97,326	0	
23	Contingency & Future Year Costs	182,414	182,414	0	
24	<i>Subtotal Services & Supplies</i>	11,759,848	11,973,068	213,220	
25					
26	Unappropriated Revenues	0	0	0	
27	TOTAL EXPENDITURES	11,999,434	12,212,654	213,220	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING REVENUES SUMMARY
FY 2012-2013 BUDGET**

1 **PLANNING REVENUES:** 721600/721700/721750

SOURCES	FY12-13 APPROVED 03/21/13	FY12-13 PROPOSED 04/11/13	DIFFERENCE	NOTE
5 REVENUES:				
6				
7 TDA Planning	430,186	430,186	0	
8 Rural Planning Assistance (RPA)	322,411	322,411	0	
9 STIP for Planning (PPM)	163,537	163,537	0	
10 RSTP Exchange - Eco Act, CTSC, & Bike Signage	39,800	39,800	0	
11 FHWA - Earmark	395,372	395,372	0	
12 FHWA - Planning (PL) - from AMBAG	235,411	250,411	15,000	- Greater level of work in this FY than previously estimated
13 New Freedom Grant	165,000	165,000	0	
14 Transit Professional Development Grant	13,568	13,568	0	
15 Federal transit planning grant	1,649	1,649	0	
16 Partnership Planning Grant	122,196	103,405	-18,791	- Work shifted to FY 2013-14 to accommodate other work in this fiscal year
17 SCMTD	4,136	4,136	0	
18 Coastal Conservancy	250,000	250,000	0	
19 SGC Grants (AMBAG & SC County)	50,084	58,573	8,489	- Greater level of work in this FY than previously estimated
20 AB2766/Air District Funds:				
21 Bike Secure - RTC	0	0	0	
22 RTC Funds Budgeted	99,371	94,673	-4,698	- Work shifted to FY 2013-14 to accommodate other work in this fiscal year
23				
24 TOTAL REVENUES	2,292,721	2,292,721	0	

Note:

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING EXPENDITURES
FY 2012-2013 BUDGET

1 **PLANNING EXPENDITURES:** 721600/721700/721750

EXPENDITURES	FY12-13 APPROVED 03/21/13	FY12-13 PROPOSED 04/11/13	DIFFERENCE	NOTE
Staff & Overhead by Program				
Plan Coordination	95,471	95,471	0	
Land Use/Transportation Coordination	-	-	0	
Complete streets plan	37,584	46,073	8,489	- Greater level of work in this FY than previously estimated
SC County sustainable comm and transit corridor plan	5,000	5,000	0	
Work Program	30,000	30,000	0	
Unified Corridor Investment Plan	23,489	-	-23,489	- Work shifted to FY 2013-14 to accommodate other work in this fiscal year
Bicycle/Pedestrian Planning	47,929	47,929	0	
Bike Secure	7,500	7,500	0	
Bike Signage Plan	-	-	0	
Monterey Bay Sanctuary Scenic Trail (MBSST) Network	215,000	215,000	0	
Specialized Transportation	48,459	48,459	0	
Safe Paths to Transit	5,500	5,500	0	
Regional Transportation Plan for MTP	233,450	233,450	0	
Regional Travel Demand Model & Monitoring	50,000	65,000	15,000	- Greater level of work in this FY than previously estimated
On-board transit study	1,748	1,748	0	
Transit Planning Intern grant	15,629	15,629	0	
Transportation Improvement Program (TIP)	157,618	157,618	0	
Highway & Roadway Planning	65,302	65,302	0	
<i>Subtotal Staff and Overhead</i>	1,039,679	1,039,679	0	
Services & Supplies				
<u>Passthrough Programs</u>				
Bike To Work Program (Ecology Action)	50,000	50,000	0	
Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	0	
Safe Paths to Transit	160,000	160,000	0	
Bike Signage Program	-	-	0	
<u>Professional Services (contracts)</u>				
Sacramento Assistant	36,000	36,000	0	
Washington Assistant	44,600	44,600	0	
Engineering and Other Technical Consultants	70,000	70,000	0	
STARS for Regional Transportation Plan	51,800	51,800	0	
On-board transit study	6,260	6,260	0	
SC Metro for on-board transit study	1,105	1,105	0	
Complete Streets plan consultant	7,500	7,500	0	
MBSST Network Master Plan Consultant	410,372	410,372	0	
Unified Corridor Investment Plan Consultant	103,405	103,405	0	
<u>RTC Work Element Related Items</u>				
Bike Secure Program	-	-	0	
Traffic Monitoring services	70,000	70,000	0	
Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	12,000	0	
MBSST Network Plan Outreach	20,000	20,000	0	
Transfer to Rail/Trail Authority	110,000	110,000	0	
<i>Subtotal Services & Supplies</i>	1,253,042	1,253,042	0	
TOTAL EXPENDITURES	2,292,721	2,292,721	0	

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
 PLANNING FUNDS SOURCE DETAIL
 FY 2012-2013 BUDGET

PLANNING DETAIL: 721600/721700/721750

	FY12-13 PROPOSED 04/11/13	TDA	RTC FUND	RPA	FHWA PL - AMBAG	SGC Grant (AMBAG)	SGC Grant County	STIP PPM	FHWA Earmark	Fed 5304 Part Plng	Fed 5304 Intern Grant	Fed 5304 Transit Grant	SCMTD	New Freedom Grant	Coastal Conserv	RSTPX
EXPENDITURES																
SALARIES, Benefits & Overhead																
Plan Coordination	95,471	9,422	-	86,049												
Land Use/Transportation Coordination	-	-	-	-												
Complete streets plan	46,073	-	-	-		46,073										
SC County sustainable comm and transit corridor plan	5,000	-	-	-			5,000									
Work Program	30,000	3,000	-	6,882	20,118											
Unified Corridor Investment Plan	-	-	-	-												
Bicycle/Pedestrian Planning	47,929	2,929	-	20,000	-			25,000								
Bike Secure	7,500	7,500	-	-												
Bike Signage Plan	-	-	-	-												
Monterey Bay Sanctuary Scenic Trail (MBSST) Network	215,000	-	-	-					215,000							
Specialized Transportation	48,459	3,000	875	44,584												
Safe Paths to Transit	5,500	500	-	-										5,000		
Regional Transportation Plan for MTP	233,450	-	-	78,198	132,219			23,033								
Regional Travel Demand Model & Monitoring	65,000	-	-	10,000	55,000											
On-board transit study	1,748	422	782	-	-							544				
Transit Planning Intern grant	15,629	1,738	323	-	-						13,568					
Transportation Improvement Program (TIP)	157,618	-	-	16,933	43,074			97,611								
Highway & Roadway Planning	65,302	5,537	-	59,765												
<i>Salaries, Benefits & Overhead Subtotal</i>	1,039,679	34,048	1,980	322,411	250,411	46,073	5,000	145,644	215,000	-	13,568	544	-	5,000	-	-
Services & Supplies																
<u>Passthrough Programs</u>																
Bike To Work Program (Ecology Action)	50,000	50,000	-	-												
Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	-	-										160,000		
Safe Paths to Transit	160,000	-	-	-												
Bike Signage Program	-	-	-	-												
<u>Professional Services</u>																
Sacramento Assistant	36,000	36,000	-	-												
Washington Assistant	44,600	44,600	-	-												
Engineering and Other Technical Consultants	70,000	44,639	25,361	-												
STARS for Regional Transportation Plan	51,800	-	12,000	-												39,800
On-board transit study	6,260	-	2,124	-												
SC Metro for on-board transit study	1,105	-	-	-							1,105		4,136			
Complete Streets plan consultant	7,500	-	-	-		7,500										
MBSST Network Master Plan Consultant	410,372	-	-	-					160,372						250,000	
Unified Corridor Investment Plan Consultant	103,405	-	-	-						103,405						
<u>RTC Work Element Related Items</u>																
Bike Secure Program	-	-	-	-												
Traffic Monitoring services	70,000	14,270	37,837	-				17,893								
Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	8,000	4,000	-												
MBSST Network Plan Outreach	20,000	-	-	-					20,000							
Transfer to Rail/Trail Authority	110,000	98,629	11,371	-												
<i>Subtotal Services & Supplies</i>	1,253,042	396,138	92,693	-	-	7,500	-	17,893	180,372	103,405	-	1,105	4,136	160,000	250,000	39,800
TOTAL EXPENDITURES	2,292,721	430,186	94,673	322,411	250,411	53,573	5,000	163,537	395,372	103,405	13,568	1,649	4,136	165,000	250,000	39,800

AGENDA: April 11, 2013

TO: Budget and Administration/Personnel (B&A/P Committee)

FROM: Luis Pavel Mendez, Deputy Director

RE: Fiscal Year (FY) 2013-14 Revised Proposed Budget

RECOMMENDATIONS

Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee review and recommend that the Santa Cruz County Regional Transportation Commission (RTC) approve the attached proposed FY 2013-14 RTC Budget (Attachments 1 and 2) as revised to address a significant funding reduction from the Association of Monterey Bay Area Governments (AMBAG).

BACKGROUND

At the beginning of each calendar year, staff prepares a proposed Regional Transportation Commission (RTC) budget for the following fiscal year. The proposed budget is presented to the Budget and Administration/Personnel (B&A/P) Committee for review and recommendation to the RTC. At its February 14, 2013 meeting, the B&A/P Committee reviewed and recommended approval to the RTC of a FY 2013-14 budget. The RTC was scheduled to consider that budget at its March 21, 2013 meeting but due to a significant reduction in funding from AMBAG communicated just days before that meeting, consideration of the proposed FY 2013-14 RTC budget was postponed. A revised proposed FY 2013-14 budget is now before the B&A/P Committee for consideration.

DISCUSSION

Federal Highway Administration (FHWA) Planning (PL) Funding

Every year the FHWA provides planning funding to Metropolitan Planning Organizations (MPO) to meet federal transportation planning requirements. In the Monterey Bay Area region AMBAG is the MPO and has received about \$1 million per year in FHWA PL funding to meet federal transportation planning requirements. Federal and state transportation planning responsibilities in Santa Cruz, Monterey and San Benito Counties are also fulfilled by the regional transportation planning agencies (RTPAs) for those counties. In addition, the RTPAs are not as removed from the local communities as is AMBAG; therefore, they can better engage the diverse local communities in all facets of transportation planning and produce transportation planning documents that better reflect the communities' input as is necessary to meet federal and state transportation planning requirements. In recognition of these facts, AMBAG traditionally retained half of the FHWA PL funds and distributed the other half by formula to the RTPAs for their role in meeting the federal transportation planning requirements. The distribution formula

was established in a memorandum of understanding (MOU) between AMBAG and the RTPAs. Traditionally, the RTC has received about \$240,000 to \$260,000 per year in FHWA PL funds.

The level of work that the RTC and the Transportation Agency for Monterey County (TAMC) did to meet federal transportation planning requirements has not been limited to the amount of FHWA PL funding received from AMBAG. The RTC and TAMC also used state and local funds to fulfill those requirements. RTC and TAMC significantly overmatched the FHWA PL funds and AMBAG used that overmatch as a match for the federal funds that it retained because AMBAG did not have any other way to adequately provide a match for the funding it received. AMBAG no longer needs to use any overmatch provided by RTC and TAMC because the State of California has a high level of matching credits for federal funds from bridge tolls and MPOs were recently allowed to use those credits.

Recently, the FHWA communicated to AMBAG that FHWA PL funds could not be distributed to the RTPA's by formula and had to establish a different mechanism to provide FHWA PL funds to the RTPAs. Therefore, the MOU was invalidated and for FY 2012-13 AMBAG established continuing cooperative agreements (CCA) with each RTPA. The CCA does not guarantee any amount of FHWA PL funding to the RTPAs and establishes that the amount of funding will be determined each year by AMBAG. For FY 2012-13 the amount of funding provided to the RTPAs was similar to traditional amounts as had been established by formula. The RTC received \$250,411 for FY 2012-13. However, for FY 2013-14 AMBAG proposes to provide \$100,000 to the RTC for a reduction of \$150,411. AMBAG also reduced the amount provided to TAMC from about \$280,000 to \$100,000. This does not mean that the work that the RTC must perform to meet federal transportation planning requirements will be any less. FHWA, AMBAG and the community will still expect the RTC to participate fully in transportation planning that meets federal requirements and the community's priorities.

Filling the Funding Gap

Because there is no longer an MOU with a funding formula between AMBAG and the RTPA's and the existing cooperative agreement does not guarantee any level of funding, the RTC does not have a mechanism to secure a greater level of FHWA PL funding from AMBAG. Therefore, the RTC must look for other ways to fill the funding gap. Therefore, staff proposes meeting the funding gap by eliminating the Sacramento assistant (\$36,000), using \$60,000 in anticipated FY 2012-13 Transportation Development Account (TDA) surplus revenues and reducing FY 2013-14 TDA apportionments to all recipients by \$54,411 for a total of \$150,411. This translates into a slight reduction in proposed TDA revenues apportionments to transportation services providers and the local jurisdictions.

The RTC rules and regulations establish the distribution of TDA funds consistent with State statute. The RTC apportions TDA funds to itself as necessary to administer the TDA and accomplish its work program including regional transportation planning responsibilities. The remaining funds are distributed by formula with 85.5% to Santa Cruz METRO, 8.4% to Community Bridges, 1% to the Volunteer Center and the remainder to the local jurisdictions by population for bicycle and pedestrian projects.

In addition, to the reduced FHWA PL funding level to the RTC and TAMC, AMBAG is asking for a contribution of \$57,500 from each agency towards the cost of the environmental document for

the 2014 Metropolitan Transportation Plan (MTP). The MTP environmental document also serves as the funding document for the regional transportation plans (RTPs) produced by RTC and TAMC. The proposed revisions to the FY 2013-14 budget do not include funding to contribute toward the environmental document. In order to minimize a reduction in TDA apportionments to transportation service providers and the local jurisdictions, staff proposes that AMBAG investigate other means to fund the environmental document. If AMBAG cannot find other means to fund the environmental review document, then the RTC may consider an additional contribution of \$57,500 in TDA funds to meet the need, thus reducing apportionments to TDA recipients by that amount.

Attachment 1 is the revised proposed FY 2013-14 budget illustrating the differences between the budget recommended by the B&A/P Committee in February and the revisions proposed by staff. The other revisions shown and not explained above are proposed to shift work among some work areas between FY 2012-13 and FY 2013-14 as explained in a proposed FY 2012-13 budget amendment considered as a separate item.

Since the RTC has not yet approved a budget for FY 2013-14, Attachment 2 shows the FY 2013-14 budget that would be considered by the RTC with the revisions proposed in Attachment 1 included. **Staff recommends that the B&A/P Committee review and recommend that the RTC approve the attached proposed FY 2013-14 budget (Attachments 1 and 2) as revised to address a significant funding reduction from the Association of Monterey Bay Area Governments (AMBAG).**

SUMMARY

In February, the B&A/P Committee recommended a FY 2013-14 budget to the RTC. Consideration of that budget was postponed due to a significant funding reduction by AMBAG. Staff proposes revisions to accommodate the funding gap by eliminating the Sacramento assistant, and using FY 2012-13 and FY 2013-14 TDA revenues. Staff recommends that the B&A/P Committee review and recommend that the RTC approve the attached proposed FY 2013-14 budget (Attachments 1 and 2) as revised to address a significant funding reduction from the Association of Monterey Bay Area Governments (AMBAG).

Attachments:

1. Proposed Revisions to FY 2013-14 RTC Budget Recommended by the B&A/P Committee
2. Proposed Revised FY 2013-14 RTC Budget
3. Actual and Estimated Transportation Development Act Revenues

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PROJECTED REVENUE SUMMARY
 FY 2013-2014 BUDGET

ATTACHMENT 1

1 PROJECTED REVENUE SUMMARY

2	3	4	5	6
SOURCES	FY13-14 B&A APPROVED 2/14/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5 Transportation Development Act (TDA):				
6 Auditor's 1/4 Cent Sales Tax Estimate	8,165,852	8,165,852	0	
7 Previous FY Surplus Revenues Budgeted	0	60,000	60,000	- To help cover significant reduction in FHWA PL funding by AMBAG
8 Interest Estimate	8,000	8,000	0	
9 <i>Total TDA Apportioned</i>	8,173,852	8,233,852	60,000	
10				
11 State Transit Assistance (STA)	2,759,269	2,759,269	0	
12 Planning Grant Funds/Others:				
13 Rural Planning Assistance (RPA)	316,933	316,933	0	
14 STIP for Planning (PPM)	163,537	163,537	0	
15 RSTP Exchange - Eco Act, CTSC, & Bike Signage	81,024	81,024	0	
16 FHWA - Earmark	180,000	180,000	0	
17 FHWA - Planning (PL) - from AMBAG	250,411	100,000	-150,411	- AMBAG significantly reduced funding for work done by RTC to meet fed requireme
18 Coastal Conservancy	150,000	150,000	0	
19 SCMTD	0	0	0	
20 New Freedom Grant	165,000	165,000	0	
21 SGC Grant	37,584	13,927	-23,657	- Revised estimated carryover due to work speeding up in FY 2012-13
22 Transit intern and planning grants	114,400	133,191	18,791	- Some work shifted from FY 2012-13 to FY 2013-14
23 Other Revenues	0	0	0	
24 RTC Funds Budgeted	154,208	162,831	8,623	- Revised estimate due to reduced funding and shifting of grant funds
25 <i>Planning/Other Total</i>	1,613,097	1,466,443	-146,654	
26 Rideshare:				
27 RSTP Exchange & AMBAG funds	318,841	318,841	0	
28 CMAQ - Rideshare	0	0	0	
29 AB2766	58,738	58,738	0	
30 Service Authority for Freeway Emergency (SAFE):				
31 DMV Fees and interest	239,000	239,000	0	
32 Other - MTC SAFE and Partnership Planning Grant	107,000	107,000	0	
33 SAFE Funds Budgeted	112,300	112,300	0	
34 Freeway Service Patrol (FSP):				
35 Caltrans Grant	205,000	205,000	0	
36 Hwy 1 Constructin and RSTP Exchange	135,000	135,000	0	
37 FSP Reserves Budgeted and Interest	32,000	32,000	0	
38 Rail/Trail Authority:				
39 Proposition 116 and STIP	5,240,000	5,240,000	0	
40 Leases and Union Pacific	50,000	50,000	0	
41 Federal Earmark and RSTP Exchange	0	0	0	
42 Transfer - in from TC Planning	110,000	110,000	0	
43 Rail/Trail Funds Budgeted	135,000	135,000	0	
44 Highway 1:				
45 RSTP Exchange	981,248	981,248	0	
46 STIP	317,729	317,729	0	
47 CMIA (state bond)	1,992,271	1,992,271	0	
48 RSTP Exchange Program	30,000	30,000	0	
49 TOTAL	22,610,345	22,523,691	-86,654	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
 APPORTIONMENT SUMMARY
 FY 2013-2014 BUDGET**

1 APPORTIONMENT SUMMARY

CLAIMANTS	FY13-14 B&A APPROVED 2/14/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5 Transportation Development Act (TDA): (1)				
7 TDA Reserve Fund	46,162	46,162	0	
8 RTC Reserve Fund			0	
9 RTC Planning		60,000	60,000	- To help cover significant reduction in FHWA PL funding by AMBAG
10 SCCRTC:				% Chg
11 TDA Administration	527,288	523,531	-3,757	-0.71%
12 TDA Planning: General Planning	310,600	365,011	54,411	17.52%
13 Bike to Work	50,000	50,000	0	0.00%
14 Bike & Pedestrian Safety (CTSC)	100,000	100,000	0	0.00%
15 <i>Subtotal</i>	987,888	1,038,542	50,654	5.13%
17 SCMTD	6,104,531	6,061,222	-43,309	-0.71%
18 Specialized Transit (Community Bridges/CTSA)	599,743	595,488	-4,255	-0.71%
19 Volunteer Center	71,398	70,891	-507	-0.71%
20 City of Capitola	13,664	13,567	-97	-0.71%
21 City of Santa Cruz - Non Transit	84,817	84,215	-602	-0.71%
22 City of Scotts Valley	15,937	15,824	-113	-0.71%
23 City of Watsonville	70,656	70,155	-501	-0.71%
24 County of Santa Cruz	179,057	177,786	-1,270	-0.71%
25 <i>Subtotal</i>	7,139,802	7,089,148	-50,654	-0.71%
27 TOTAL TDA APPORTIONED	8,173,852	8,173,852	0	
29 State Transit Assistance (STA) - SCMTD	2,759,269	2,759,269	0	
31 Planning Grant Funds/Others:	1,613,097	1,466,443	-146,654	- AMBAG significantly reduced funding for work done by RTC to meet fed requirem
33 Rideshare	377,579	377,579	0	
35 SAFE	458,300	458,300	0	
37 Freeway Service Patrol (FSP)	372,000	372,000	0	
39 Rail/Trail Authority	5,535,000	5,535,000	0	
41 Highway 1	3,291,248	3,291,248	0	
43 RSTP Exchange Program	30,000	30,000	0	
45 TOTAL	22,610,345	22,463,691	-146,654	

47 (1) TDA apportionments are based on the formulas in the RTC's Rules and Regulations. Balance not used for Planning and Administration is allocated to other TDA claimants as follows:
 48 85.5% is appropriated to SCMTD, 8.4% to Community Bridges and 1% to the Volunteer Center; the remaining funds are proportionally allocated to cities and the County according to population.

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
BUDGET SUMMARY
FY 2013-2014 BUDGET**

1 BUDGET SUMMARY

	FY11-12 ADOPTED DETAIL			FY12-13 ADOPTED DETAIL			FY13-14 PROPOSED DETAIL		
	TOTAL FY 11-12 ADOPTED 06/12	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY13-14 ADOPTED 2/14/13	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY13-14 PROPOSED 4/11/13	SALARIES & BENEFITS (1)	SERVICES & SUPPLIES
OPERATIONS PROGRAMS									
SCCRTC - Administration	648,350	201,000	447,350	624,500	208,000	416,500	624,500	208,000	416,500
Rideshare	416,991	180,000	236,991	366,679	180,000	186,679	366,679	180,000	186,679
SAFE	598,633	140,000	458,633	458,300	165,000	293,300	458,300	165,000	293,300
Freeway Service Patrol	362,000	45,000	317,000	372,000	70,000	302,000	372,000	70,000	302,000
SCCRTC Planning	2,821,434	996,654	1,824,780	1,976,485	1,057,861	918,624	1,940,485	1,057,861	882,624
Total Operations Programs	4,847,408	1,562,654	3,284,754	3,797,964	1,680,861	2,117,103	3,761,964	1,680,861	2,081,103
CAPITAL PROGRAMS									
Rail/Trail Authority	21,007,359	100,000	20,907,359	5,535,000	180,000	5,355,000	5,535,000	180,000	5,355,000
Highway 1 Env Docs & Design	2,807,022	150,832	2,656,190	981,248	200,000	781,248	981,248	200,000	781,248
Highway 1 Construction	15,933,000	200,000	15,733,000	2,310,000	75,000	2,235,000	2,310,000	75,000	2,235,000
Total Capital Programs	39,747,381	450,832	39,296,549	8,826,248	455,000	8,371,248	8,826,248	455,000	8,371,248
TOTAL ALL PROGRAMS	44,594,789	2,013,486	42,581,303	12,624,212	2,135,861	10,488,351	12,588,212	2,135,861	10,452,351

**BUDGET COMPARISON
PRIOR YEAR AND BUDGET YEAR**

PROGRAM	FY 11-12 ADOPTED 06/12	FY 11-12 ACTUAL 6/30/11	FY 11-12 ACTUAL LESS ADOPTED 06/12	FY13-14 ADOPTED 2/14/13	FY 11-12 ADOPTED 06/12	FY13-14 ADOPTED VS FY 11-12 ADOPTED	FY13-14 PROPOSED 4/11/13	FY13-14 ADOPTED 2/14/13	FY13-14 PROPOSED VS FY13-14 ADOPTED
SCCRTC - Administration	648,350	495,198	(153,152)	624,500	648,350	(23,850)	624,500	624,500	-
Rideshare	416,991	179,767	(237,224)	366,679	416,991	(50,312)	366,679	366,679	-
SAFE	598,633	397,579	(201,054)	458,300	598,633	(140,333)	458,300	458,300	-
Freeway Service Patrol	362,000	290,206	(71,794)	372,000	362,000	10,000	372,000	372,000	-
Rail/Trail Authority	21,007,359	469,161	(20,538,198)	5,535,000	21,007,359	(15,472,359)	5,535,000	5,535,000	-
Highway 1 Env Docs & Design	2,807,022	921,236	(1,885,786)	981,248	2,807,022	(1,825,774)	981,248	981,248	-
Highway 1 Construction	15,933,000	3,720,462	(12,212,538)	2,310,000	15,933,000	(13,623,000)	2,310,000	2,310,000	-
SCCRTC Planning	2,821,434	1,589,272	(1,232,162)	1,976,485	2,821,434	(844,949)	1,940,485	1,976,485	(36,000)
Total Operating Budget	44,594,789	8,062,881	(36,531,908)	12,624,212	44,594,789	(31,970,577)	12,588,212	12,624,212	(36,000)

Notes: (1) Includes staffing shown on page 16

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
ADMINISTRATION
FY 2013-2014 BUDGET**

1 ADMINISTRATION

2	3	4	5	6
WORK ELEMENT #102	FY13-14 &A APPROVE 2/14/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5 REVENUES:				
6 TDA Administration	527,288	523,531	-3,757	- Reduced apportionment to cover reduced FHWA PL funding from AMBAG
7 Other Revenues	0	0	0	
8 RTC Funds	97,212	100,969	3,757	- To cover reduced apportionment
9 TOTAL REVENUES	624,500	624,500	0	
10 EXPENDITURES:				
11 Salaries & Benefits	208,000	208,000	0	
12				
13 Services and Supplies:				
14 Rent, Utilities, Insurance				
15 Telephone	13,000	13,000	0	
16 Liability Insurance	14,000	14,000	0	
17 Video Conferencing Fees	3,000	3,000	0	
18 Utilities	9,000	9,000	0	
19 Office Rent	89,000	89,000	0	
20 Travel/Training				
21 Vehicle Rentals and Service	1,000	1,000	0	
22 RTC Vehicle	3,000	3,000	0	
23 Transportation/Travel/Education	26,000	26,000	0	
24 Fixed Assets				
25 Fixed Assets	15,000	15,000	0	
26 Office Expenses				
27 Office Expense	24,000	24,000	0	
28 Duplicating	6,000	6,000	0	
29 Postage	5,500	5,500	0	
30 Membership	7,500	7,500	0	
31 Sponsorship	1,000	1,000	0	
32 Advertisement/Publication	6,000	6,000	0	
33 Office Equipment Repair/Maintenance	6,500	6,500	0	
34 Contingency/Special Expense	20,000	20,000	0	
35 County Mainframe/Intranet	6,000	6,000	0	
36 Computer Software	10,000	10,000	0	
37 Services				
38 Commissioners' Stipend	11,000	11,000	0	
39 Fiscal & Triennial Performance Audit	30,000	30,000	0	
40 Annual Report/Fact Sheets	5,000	5,000	0	
41 Accounting, Payroll and Auditing Fees	15,000	15,000	0	
42 Human Resources/Employee Relations	10,000	10,000	0	
43 Administrative Consulting Services	12,500	12,500	0	
44 Legal Counsel	30,000	30,000	0	
45 Computer/website support, service & programming	30,000	30,000	0	
46 Custodial - Janitorial Services	7,500	7,500	0	
47 <i>Subtotal Services & Supplies</i>	416,500	416,500	0	
48				
49 TOTAL EXPENDITURES	624,500	624,500	0	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING REVENUES SUMMARY
FY 2013-2014 BUDGET**

1 **PLANNING REVENUES:** 721600/721700/721750

SOURCES	FY13-14 B&A APPROVED 2/14/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
REVENUES:				
TDA Planning	460,600	575,011	114,411	- To help cover significant reduction in FHWA PL funding by AMBAG
Rural Planning Assistance (RPA)	316,933	316,933	0	
STIP for Planning (PPM)	163,537	163,537	0	
RSTP Exchange - Eco Act, CTSC, & Bike Signage	81,024	81,024	0	
FHWA - Earmark	180,000	180,000	0	
FHWA - Planning (PL) - from AMBAG	250,411	100,000	-150,411	- AMBAG significantly reduced funding for work done by RTC to meet fed requirements
New Freedom Grant	165,000	165,000	0	
Transit Professional Development Grant	0	0	0	
Federal transit planning grant	0	0	0	
Partnership Planning Grant	114,400	133,191	18,791	- Some work shifted from FY 2012-13 to FY 2013-14
SCMTD			0	
Coastal Conservancy	150,000	150,000	0	
SGC Grants (AMBAG & SC County)	37,584	13,927	-23,657	- Revised estimated carryover due to work speeding up in FY 2012-13
AB2766/Air District Funds:				
Bike Secure - RTC	0	0	0	
RTC Funds Budgeted	56,996	61,862	4,866	- To match grant funds shifted form FY 2012-13 to FY 2013-14
TOTAL REVENUES	1,976,485	1,940,485	-36,000	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING EXPENDITURES
FY 2013-2014 BUDGET**

1 **PLANNING EXPENDITURES:** 721600/721700/721750

EXPENDITURES	FY13-14 B&A APPROVED 2/14/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
Staff & Overhead by Program				
Plan Coordination	103,648	103,648	0	
Unified Corridor Study	83,118	116,607	33,489	- Work shifted from FY 2012-13 to FY 2013-14
Complete streets plan	47,584	34,095	-13,489	- Work momentum in FY 2012-13 increased; resources shifted to FY 2012-13
SC County sustainable comm and transit corridor plan	-	-	0	
Work Program	30,000	30,000	0	
Public Information	15,000	15,000	0	
Bicycle/Pedestrian Planning	50,429	50,429	0	
Bike Secure	-	-	0	
Bike Signage Plan	20,000	20,000	0	
Monterey Bay Sanctuary Scenic Trail (MBSST) Network	100,000	100,000	0	
Specialized Transportation	50,959	50,959	0	
Safe Paths to Transit	6,500	6,500	0	
Regional Transportation Plan for MTP	233,450	233,450	0	
Regional Travel Demand Model	65,000	35,000	-30,000	- Work momentum in FY 2012-13 increased; resources shifted to FY 2012-13
On-board transit study	-	-	0	
Transit Planning Intern grant	-	-	0	
Transportation Improvement Program (TIP)	178,173	188,173	10,000	- Updated estimate for RTIP production
Highway & Roadway Planning	74,000	74,000	0	
<i>Subtotal Staff and Overhead</i>	1,057,861	1,057,861	0	
Services & Supplies				
<u>Passthrough Programs</u>				
Bike To Work Program (Ecology Action)	50,000	50,000	0	
Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	0	
Safe Paths to Transit	160,000	160,000	0	
Bike Signage Program	61,024	61,024	0	
<u>Professional Services (contracts)</u>				
Sacramento Assistant	36,000	-	-36,000	- To help cover significant reduction in FHWA PL funding by AMBAG
Washington Assistant	44,600	44,600	0	
Engineering and Other Technical Consultants	50,000	50,000	0	
STARS for Regional Transportation Plan	-	-	0	
On-board transit study	-	-	0	
SC Metro for on-board transit study	-	-	0	
MBSST Network Master Plan Consultant	210,000	210,000	0	
Unified Corridor Investment Plan Consultant	50,000	50,000	0	
<u>RTC Work Element Related Items</u>				
Bike Secure Program	-	-	0	
Traffic Monitoring services	15,000	15,000	0	
Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	12,000	0	
MBSST Network Plan Outreach	20,000	20,000	0	
Transfer to Rail/Trail Authority	110,000	110,000	0	
<i>Subtotal Services & Supplies</i>	918,624	882,624	-36,000	
TOTAL EXPENDITURES	1,976,485	1,940,485	-36,000	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING FUNDS SOURCE DETAIL
FY 2013-2014 BUDGET**

1 **PLANNING DETAIL:** 721600/721700/721750

2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
	EXPENDITURES	FY13-14 PROPOSED 4/11/13	TDA	RTC FUND	RPA	FHWA PL - AMBAG	SGC Grant (AMBAG)	SGC Grant County	STIP PPM	FHWA Earmark	Fed 5304 Part Plang	New Freedom Grant	Coastal Conserv	RSTPX
5	SALARIES, Benefits & Overhead													
6	Plan Coordination	103,648	9,422	-	84,226	10,000								
7	Unified Corridor Study	116,607	23,416	10,000	-	-				83,191				
8	Complete streets plan	34,095	14,677	5,491			13,927							
9	SC County sustainable comm and transit corridor plan	-	-	-										
10	Work Program	30,000	3,118	-	6,882	20,000								
11	Public Information	15,000	15,000	-	-	-								
12	Bicycle/Pedestrian Planning	50,429	5,429	-	20,000	-		25,000						
13	Bike Secure	-	-	-	-	-								
14	Bike Signage Plan	20,000	-	-	-	-								20,000
15	Monterey Bay Sanctuary Scenic Trail (MBSST) Network	100,000	-	-	-	-			100,000					
16	Specialized Transportation	50,959	6,375	-	44,584	-								
17	Safe Paths to Transit	6,500	1,500	-	-	-						5,000		
18	Regional Transportation Plan for MTP	233,450	80,396	-	80,021	50,000		23,033						
19	Regional Travel Demand Model	35,000	10,000	5,000	10,000	10,000								
20	On-board transit study	-	-	-	-	-								
21	Transit Planning Intern grant	-	-	-	-	-								
22	Transportation Improvement Program (TIP)	188,173	50,736	10,000	16,933	10,000		100,504						
23	Highway & Roadway Planning	74,000	19,713	-	54,287	-								
24	<i>Salaries, Benefits & Overhead Subtotal</i>	1,057,861	239,782	30,491	316,933	100,000	13,927	-	148,537	100,000	83,191	5,000	-	20,000
25														
26	Services & Supplies													
27	<u>Passthrough Programs</u>													
28	Bike To Work Program (Ecology Action)	50,000	50,000	-	-	-								
29	Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	-	-	-								
30	Safe Paths to Transit	160,000	-	-	-	-					160,000			
31	Bike Signage Program	61,024	-	-	-	-								61,024
32														
33	<u>Professional Services</u>													
34	Sacramento Assistant	-	-	-	-	-								
35	Washington Assistant	44,600	44,600	-	-	-								
36	Engineering and Other Technical Consultants	50,000	30,000	20,000	-	-								
37	STARS for Regional Transportation Plan	-	-	-	-	-								
38	On-board transit study	-	-	-	-	-								
39	SC Metro for on-board transit study	-	-	-	-	-								
40	MBSST Network Master Plan Consultant	210,000	-	-	-	-			60,000				150,000	
41	Unified Corridor Investment Plan Consultant	50,000	-	-	-	-				50,000				
42														
43	<u>RTC Work Element Related Items</u>													
44	Bike Secure Program	-	-	-	-	-								
45	Traffic Monitoring services	15,000	-	-	-	-		15,000						
46	Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	12,000	-	-	-								
47	MBSST Network Plan Outreach	20,000	-	-	-	-			20,000					
48	Transfer to Rail/Trail Authority	110,000	98,629	11,371	-	-								
49	<i>Subtotal Services & Supplies</i>	882,624	335,229	31,371	-	-	-	-	15,000	80,000	50,000	160,000	150,000	61,024
50														
51	TOTAL EXPENDITURES	1,940,485	575,011	61,862	316,933	100,000	13,927	-	163,537	180,000	133,191	165,000	150,000	81,024

ATTACHMENT 2

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
(SCCRTC)

FISCAL YEAR 2013-14 BUDGET

PROPOSED APRIL 11, 2013

Table of Contents

	Page
I. BUDGET SUMMARY	
A. Projected Revenue Summary.....	1
B. Apportionment Summary - TDA and Other Funds.....	2
C. SCCRTC Operating Budget Summary.....	3
II. SCCRTC OPERATING BUDGET DETAIL - BY WORK PROGRAM	
A. SCCRTC - Administration.....	.4
B. Rideshare	5
C. Service Authority for Freeway Emergencies (SAFE).....	.6
D. Freeway Service Patrol (FSP).....	7
E. Rail/Trail Authority.....	8
F. Highway 1 Environmental Document and Design9
G. Highway 1 Construction10
H. SCCRTC Planning (all other programs).....	11,12,13
III. PASS THROUGH GRANTS AND PROGRAMS	
A. STP Exchange Projects.....	14
IV. FUND BALANCES AND RESERVES	
A. Status of Reserve Funds.....	15
V. SUPPLEMENTAL SCHEDULES	
A. Staff Positions.....	16
B. TDA Allocation Balances.....	17
VI. GLOSSARY OF TRANSPORTATION FUNDING TERMS.....	18 - 21

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PROJECTED REVENUE SUMMARY
FY 2013-2014 BUDGET

1 PROJECTED REVENUE SUMMARY

SOURCES	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
Transportation Development Act (TDA):				
Auditor's 1/4 Cent Sales Tax Estimate	7,216,348	8,165,852	949,504	- Auditor Controller's estimate of Jan 2013
Previous FY Surplus Revenues Budgeted	394,728	60,000	-334,728	- To help cover significant reduction in FHWA PI funding by AMBAG
Interest Estimate	8,000	8,000	0	
<i>Total TDA Apportioned</i>	7,619,076	8,233,852	614,776	
State Transit Assistance (STA)	3,305,170	2,759,269	-545,901	- State Controller estimate of January 2013
Planning Grant Funds/Others:				
Rural Planning Assistance (RPA)	316,933	316,933	0	
STIP for Planning (PPM)	163,537	163,537	0	-
RSTP Exchange - Eco Act, CTSC, & Bike Signage	120,824	81,024	-39,800	- Estimated FY 2012-13 carryover
FHWA - Earmark	220,000	180,000	-40,000	- Estimated FY 2012-13 carryover and funds to complete planning documents
FHWA - Planning (PL) - from AMBAG	250,411	100,000	-150,411	- AMBAG significantly reduced funding for work done by RTC to meet Fed requiremei
Coastal Conservancy	250,000	150,000	-100,000	- Estimated FY 2012-13 Carryover
SCMTD	4,136	0	-4,136	- Completed in FY 2012-13
New Freedom Grant	165,000	165,000	0	
SGC Grant	42,584	13,927	-28,657	- Estimae for FY 2013-14
Transit intern and planning grants	137,413	133,191	-4,222	- Estimated FY 2012-13 Carryover
Other Revenues	9,750	0	-9,750	- One time revenues in FY 2012-13
RTC Funds Budgeted	347,692	162,831	-184,861	- Estimate for FY 2013-14
<i>Planning/Other Total</i>	2,028,280	1,466,443	-561,837	
Rideshare:				
RSTP Exchange & AMBAG funds	143,641	318,841	175,200	- Previously programmed funds
CMAQ - Rideshare	164,300	0	-164,300	- To be fully spent in FY 2012-13
AB2766	78,784	58,738	-20,046	- Estimated carryover from FY 2012-13
Service Authority for Freeway Emergency (SAFE):				
DMV Fees and interest	239,000	239,000	0	
Other - MTC SAFE and Partnership Planning Grant	140,800	107,000	-33,800	- Estimated carryover from FY 2012-13
SAFE Funds Budgeted	83,350	112,300	28,950	- Estimated carryover from FY 2012-13 and to complete 511 system planning
Freeway Service Patrol (FSP):				
Caltrans Grant	206,370	205,000	-1,370	- Estimate of Caltrans funds for FY 2013-14
Hwy 1 Constructin and RSTP Exchange	120,000	135,000	15,000	- Newly programmed funds to continue existing FSP service
FSP Reserves Budgeted and Interest	70,630	32,000	-38,630	- Lower level of reserve funds needed in FY 2013-14
Rail/Trail Authority:				
Proposition 116 and STIP	19,550,000	5,240,000	-14,310,000	- Rail line purchase completed in FY 2012-13
Leases and Union Pacific	446,500	50,000	-396,500	- Rail line purchase completed in FY 2012-13
Federal Earmark and RSTP Exchange	431,584	0	-431,584	- Used in FY 2012-13 for engineering work
Transfer - in from TC Planning	110,000	110,000	0	
Rail/Trail Funds Budgeted	114,860	135,000	20,140	- Estimated carryover from FY 2012-13
Highway 1:				
RSTP Exchange	1,961,056	981,248	-979,808	- Estimated carryover from FY 2012-13
STIP	1,537,236	317,729	-1,219,507	- Majority of work completed in FY 2012-13
CMIA (state bond)	10,462,198	1,992,271	-8,469,927	- Majority of work completed in FY 2012-13
RSTP Exchange Program	4,702,603	30,000	-4,672,603	- Carryover to be determined at end of FY 2012-13
TOTAL	53,515,438	22,523,691	-30,991,747	

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
APPORTIONMENT SUMMARY
FY 2013-2014 BUDGET

1 APPORTIONMENT SUMMARY

CLAIMANTS	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5 Transportation Development Act (TDA): (1)				
7 TDA Reserve Fund	22,253	46,162	23,909	- To meet established 8% target for TDA reserve fund
8 RTC Reserve Fund	250,000		-250,000	- This was from FY 2011-12 surplus funds
9 RTC Planning		60,000	60,000	- To help cover significant reduction in FHWA PI funding by AMBAG
10 SCCRTC:				
11 TDA Administration	475,657	523,531	47,874	10.06% - Based on Auditor Controller estimate of Jan 2013
12 TDA Planning: General Planning	280,186	365,011	84,825	30.27% - Based on Auditor Controller estimate of Jan 2013 and to help cover
13 Bike to Work	50,000	50,000	0	0.00% in FHWA PL funding by AMBAG
14 Bike & Pedestrian Safety (CTSC)	100,000	100,000	0	0.00%
15 <i>Subtotal</i>	905,843	1,038,542	132,699	14.65%
17 SCMTD	5,507,038	6,061,222	554,184	10.06% - Based on Auditor Controller estimate of Jan 2013
18 Specialized Transit (Community Bridges/CTSA)	541,042	595,488	54,446	10.06% - Based on Auditor Controller estimate of Jan 2013
19 Volunteer Center	64,410	70,891	6,482	10.06% - Based on Auditor Controller estimate of Jan 2013
20 City of Capitola	12,327	13,567	1,240	10.06% - Based on Auditor Controller estimate of Jan 2013
21 City of Santa Cruz - Non Transit	76,515	84,215	7,700	10.06% - Based on Auditor Controller estimate of Jan 2013
22 City of Scotts Valley	14,377	15,824	1,447	10.06% - Based on Auditor Controller estimate of Jan 2013
23 City of Watsonville	63,740	70,155	6,414	10.06% - Based on Auditor Controller estimate of Jan 2013
24 County of Santa Cruz	161,531	177,786	16,255	10.06% - Based on Auditor Controller estimate of Jan 2013
25 <i>Subtotal</i>	6,440,980	7,089,148	648,168	10.06%
26				
27 TOTAL TDA APPORTIONED	7,619,076	8,233,852	614,776	- Based on Auditor Controller estimate of Jan 2013
28				
29 State Transit Assistance (STA) - SCMTD	3,305,170	2,759,269	-545,901	- State Controller estimate of January 2013
30				
31 Planning Grant Funds/Others:	2,028,280	1,466,443	-561,837	- Some planning work products completed in FY 2012-13
32				
33 Rideshare	386,725	377,579	-9,146	- some Carpool Incentive Program work completed in FY 2012-13
34				
35 SAFE	463,150	458,300	-4,850	- Some 511 system planning work completed in FY 2012-13
36				
37 Freeway Service Patrol (FSP)	397,000	372,000	-25,000	- Extra service for Hwy 1 construction not needed in FY 2013-14
38				
39 Rail/Trail Authority	20,652,944	5,535,000	-15,117,944	- Rail line purchase completed in FY 2012-13
40				
41 Highway 1	13,960,490	3,291,248	-10,669,242	- Majority of Highway 1 Aux Lanes work completed in FY 2012-13
42				
43 RSTP Exchange Program	4,702,603	30,000	-4,672,603	- Carryover to be determined at end of FY 2012-13
44				
45 TOTAL	53,515,438	22,523,691	-30,991,747	

47 (1) TDA apportionments are based on the formulas in the RTC's Rules and Regulations. Balance not used for Planning and Administration is allocated to other TDA claimants as follows:
48 85.5% is appropriated to SCMTD, 8.4% to Community Bridges and 1% to the Volunteer Center; the remaining funds are proportionally allocated to cities and the County according to population.

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
BUDGET SUMMARY
FY 2013-2014 BUDGET**

1 BUDGET SUMMARY

	FY11-12 ADOPTED DETAIL			FY12-13 ADOPTED DETAIL			FY13-14 PROPOSED DETAIL		
	TOTAL FY 11-12 ADOPTED 06/12	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY12-13 ADOPTED 2/07/13	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY13-14 PROPOSED 4/11/13	SALARIES & BENEFITS (1)	SERVICES & SUPPLIES
OPERATIONS PROGRAMS									
SCCRTC - Administration	648,350	201,000	447,350	718,250	201,000	517,250	624,500	208,000	416,500
Rideshare	416,991	180,000	236,991	386,725	180,000	206,725	366,679	180,000	186,679
SAFE	598,633	140,000	458,633	463,150	150,000	313,150	458,300	165,000	293,300
Freeway Service Patrol	362,000	45,000	317,000	397,000	60,000	337,000	372,000	70,000	302,000
SCCRTC Planning	2,821,434	996,654	1,824,780	2,215,873	1,009,679	1,206,194	1,940,485	1,057,861	882,624
Total Operations Programs	4,847,408	1,562,654	3,284,754	4,180,998	1,600,679	2,580,319	3,761,964	1,680,861	2,081,103
CAPITAL PROGRAMS									
Rail/Trail Authority	21,007,359	100,000	20,907,359	20,652,944	110,000	20,542,944	5,535,000	180,000	5,355,000
Highway 1 Env Docs & Design	2,807,022	150,832	2,656,190	1,961,056	150,000	1,811,056	981,248	200,000	781,248
Highway 1 Construction	15,933,000	200,000	15,733,000	11,999,434	224,586	11,774,848	2,310,000	75,000	2,235,000
Total Capital Programs	39,747,381	450,832	39,296,549	34,613,434	484,586	34,128,848	8,826,248	455,000	8,371,248
TOTAL ALL PROGRAMS	44,594,789	2,013,486	42,581,303	38,794,432	2,085,265	36,709,167	12,588,212	2,135,861	10,452,351

**BUDGET COMPARISON
PRIOR YEAR AND BUDGET YEAR**

PROGRAM	FY 11-12 ADOPTED 06/12	FY 11-12 ACTUAL 6/30/11	FY 11-12 ACTUAL LESS ADOPTED 06/12	FY12-13 ADOPTED 2/07/13	FY 11-12 ADOPTED 06/12	FY12-13 ADOPTED VS FY 11-12 ADOPTED	FY13-14 PROPOSED 4/11/13	FY12-13 ADOPTED 2/07/13	FY13-14 PROPOSED VS FY12-13 ADOPTED
SCCRTC - Administration	648,350	495,198	(153,152)	718,250	648,350	69,900	624,500	718,250	(93,750)
Rideshare	416,991	179,767	(237,224)	386,725	416,991	(30,266)	366,679	386,725	(20,046)
SAFE	598,633	397,579	(201,054)	463,150	598,633	(135,483)	458,300	463,150	(4,850)
Freeway Service Patrol	362,000	290,206	(71,794)	397,000	362,000	35,000	372,000	397,000	(25,000)
Rail/Trail Authority	21,007,359	469,161	(20,538,198)	20,652,944	21,007,359	(354,415)	5,535,000	20,652,944	(15,117,944)
Highway 1 Env Docs & Design	2,807,022	921,236	(1,885,786)	1,961,056	2,807,022	(845,966)	981,248	1,961,056	(979,808)
Highway 1 Construction	15,933,000	3,720,462	(12,212,538)	11,999,434	15,933,000	(3,933,566)	2,310,000	11,999,434	(9,689,434)
SCCRTC Planning	2,821,434	1,589,272	(1,232,162)	2,215,873	2,821,434	(605,561)	1,940,485	2,215,873	(275,388)
Total Operating Budget	44,594,789	8,062,881	(36,531,908)	38,794,432	44,594,789	(5,800,357)	12,588,212	38,794,432	(26,206,220)

Notes: (1) Includes staffing shown on page 16

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
ADMINISTRATION
FY 2013-2014 BUDGET**

1 ADMINISTRATION

2	3	4	5	6
WORK ELEMENT #102	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
7 REVENUES:				
8 TDA Administration	475,657	523,531	47,874	- Based on Auditor Controller's estimate
9 Other Revenues	9,750	0	-9,750	- FY 2012-13 donations for CTC Town Hall meeting and Right on Track event
10 RTC Funds	232,843	100,969	-131,874	- Lower level of RTC funds estimated to be used in FY 2013-14
11 TOTAL REVENUES	718,250	624,500	-93,750	
12 EXPENDITURES:				
13 Salaries & Benefits	201,000	208,000	7,000	- Procurement needed for fiscal audit consulting services
14 Services and Supplies:				
15 Rent, Utilities, Insurance				
16 Telephone	13,000	13,000	0	
17 Liability Insurance	14,000	14,000	0	
18 Video Conferencing Fees	3,000	3,000	0	
19 Utilities	9,000	9,000	0	
20 Office Rent	89,000	89,000	0	
21 Travel/Training				
22 Vehicle Rentals and Service	1,000	1,000	0	
23 RTC Vehicle	3,000	3,000	0	
24 Transportation/Travel/Education	26,000	26,000	0	
25 Fixed Assets				
26 Fixed Assets	40,000	15,000	-25,000	- One-time expense for phone system upgrade
27 Office Expenses				
28 Office Expense	24,000	24,000	0	
29 Duplicating	6,000	6,000	0	
30 Postage	5,500	5,500	0	
31 Membership	7,500	7,500	0	
32 Sponsorship	1,000	1,000	0	
33 Advertisement/Publication	6,000	6,000	0	
34 Office Equipment Repair/Maintenance	6,500	6,500	0	
35 Contingency/Special Expense	34,750	20,000	-14,750	- Lower amount of contingency funds available for FY 2013-14
36 County Mainframe/Intranet	6,000	6,000	0	
37 Computer Software	20,000	10,000	-10,000	- some upgrades completed in FY 2012-13
38 Services				
39 Commissioners' Stipend	11,000	11,000	0	
40 Fiscal & Triennial Performance Audit	65,000	30,000	-35,000	- Triennial performance audit should be completed in Fy 2012-13
41 Annual Report/Fact Sheets	5,000	5,000	0	
42 Accounting, Payroll and Auditing Fees	15,000	15,000	0	
43 Human Resources/Employee Relations	20,000	10,000	-10,000	- No labor negotiations anticipated in FY 2013-14
44 Administrative Consulting Services	12,500	12,500	0	
45 Legal Counsel	30,000	30,000	0	
46 Computer/website support, service & programming	36,000	30,000	-6,000	- FY 2012-13 included some needed upgrades
47 Custodial - Janitorial Services	7,500	7,500	0	
<i>Subtotal Services & Supplies</i>	517,250	416,500	-100,750	
48 TOTAL EXPENDITURES	718,250	624,500	-93,750	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
RIDESHARE - COMMUTE SOLUTIONS
FY 2013-2014 BUDGET**

1 **RIDESHARE:** 721400

2 3 4 5	WORK ELEMENT #179	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
6	REVENUES:				
6	RSTP Exchange	138,841	318,841	180,000	- Previously programmed funds
7	Rideshare Month (AMBAG)	4,800	0	-4,800	- Spent in FY 2012-13
8	CMAQ - Rideshare	164,300	0	-164,300	- To be fully spent in FY 2012-13
9	AB2766	78,784	58,738	-20,046	- Estimated carryover from FY 2012-13
10	TOTAL REVENUES	386,725	377,579	-9,146	
11	EXPENDITURES:				
12	Salaries & Benefits	180,000	180,000	0	
13					
14	Services and Supplies:				
15	Rideshare:				
16	Telephone	500	500	0	
17	Membership	600	600	0	
18	Postage	1,000	1,000	0	
19	Other - Office Expense	2,000	2,000	0	
20	Transportation/Travel/Education	2,500	2,500	0	
21	Advertisement & Promotion Materials	20,000	20,000	0	
22	Rideshare Database/Programming	7,500	7,500	0	
23	Emergency ride home	3,738	3,738	0	
24	Carpool Incentive Program	50,046	40,000	-10,046	- Estimated carryover from FY 2012-13
25	Park & Ride Lot Project	118,841	108,841	-10,000	- Estimated carryover from FY 2012-13
26	<i>Subtotal Services & Supplies</i>	206,725	186,679	-20,046	
27	Unappropriated Revenues:	0	10,900	10,900	
28					
29	TOTAL EXPENDITURES	386,725	377,579	-9,146	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
SERVICE AUTHORITY FOR FREEWAY EMERGENCIES (SAFE)
FY 2013-2014 BUDGET**

1 **SAFE:** 721825

2	3	4	5	6
WORK ELEMENT #178 and #175	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
REVENUES:				
DMV Fees	235,000	235,000	0	
Interest	4,000	4,000	0	
Caltrans Partnership Planning Grant for 511 System	90,800	57,000	-33,800	- Estimated carryover from FY 2012-13
Local Financial Assistance (MTC SAFE)	50,000	50,000	0	
SAFE Reserve Funds Budgeted	83,350	112,300	28,950	- Estimated carryover from FY 2012-13 and to complete 511 system planning
TOTAL REVENUES	463,150	458,300	-4,850	
EXPENDITURES:				
Salaries & Benefits	150,000	165,000	15,000	- Procurement for call box upgrades
Services and Supplies:				
Office Expense	2,000	2,000	0	
Transportation/Travel/Education	2,000	2,000	0	
HWY 17 Utility Charges (Electricity)	1,200	1,200	0	
Liability Insurance	4,000	4,000	0	
Legal Counsel	1,000	1,000	0	
Contingency/Special Expense	2,500	2,500	0	
Network Access	11,000	11,000	0	
System Maintenance	62,000	62,000	0	
CHP Operations	600	600	0	
Safe on 17	120,000	120,000	0	
Freeway Service Patrol	0	0	0	
Call Answering	4,500	4,500	0	
Callbox Upgrade	50,500	50,500	0	
511 System Plan - Consultant Services	16,865	12,000	-4,865	- Estimated carryover from FY 2012-13
TAMC for 511 System Planning	34,985	20,000	-14,985	- Estimated carryover from FY 2012-13
<i>Subtotal Services & Supplies</i>	313,150	293,300	-19,850	
Unappropriated Revenues	0	0	0	
TOTAL EXPENDITURES	463,150	458,300	-4,850	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
FREEWAY SERVICE PATROL (FSP)**

FY 2013-2014 BUDGET

1 FREEWAY SERVICE PATROL (FSP) : 721827

2 3 4	WORK ELEMENT #177	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5	REVENUES				
6	Caltrans	206,370	205,000	-1,370	- Estimate of Caltrans funds for FY 2013-14
7	Hwy 1 Aux Lanes project TMP funds	120,000		-120,000	- Hwy 1 Aux Lanes project to be completed in FY 2012-13
	RSTP Exchange		135,000	135,000	- Newly programmed funds to continue existing FSP service
8	Interest	630	1,000	370	- Estimated interest revenue
9	FSP Reserve Funds Budgeted	70,000	31,000	-39,000	- Lower level of reserve funds needed in FY 2013-14
10	TOTAL REVENUES	397,000	372,000	-25,000	
11					
12	EXPENDITURES				
13	Salaries & Benefits	60,000	70,000	10,000	- Procurement process to carry into FY 2013-14
14					
15	Services and Supplies:				
16	Telephone	2,000	2,000	0	
17	Transportation/Travel/Education	1,000	1,000	0	
18	Liability Insurance	4,000	4,000	0	
19	Legal Counsel	1,000	1,000	0	
20	Contingency/Special Expense	5,000	5,000	0	
21	Supplies	4,000	4,000	0	
22	Towing	320,000	285,000	-35,000	- Extra service for Hwy 1 construction not needed in FY 2013-14
23	<i>Subtotal Services & Supplies</i>	337,000	302,000	-35,000	
24	Unappropriated Revenues:	0	0	0	
25	TOTAL EXPENDITURES	397,000	372,000	-35,000	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
RAIL/TRAIL AUTHORITY
FY 2013-2014 BUDGET**

1 **RAIL/TRAIL AUTHORITY:** 722100

2 3 4 5	WORK ELEMENT #682	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
6	REVENUES:				
6	STIP	9,350,000	5,240,000	-4,110,000	- Rail line purchase completed in FY 2012-13
7	Proposition 116	10,200,000	0	-10,200,000	- Rail line purchase completed in FY 2012-13
8	Leases & Other Revenue	46,500	50,000	3,500	- Full fiscal year estimate based on existing lease agreements
9	Federal Earmark	29,805	0	-29,805	- Rail line purchase completed in FY 2012-13
10	Transfer from TC Funds	110,000	110,000	0	
11	RSTP Exchange	401,779	0	-401,779	- Used in FY 2012-13 for engineering work
12	Union Pacific	400,000	0	-400,000	- Rail line purchase completed in FY 2012-13
13	Rail/Trail Authority Reserve Funds Budgeted	114,860	135,000	20,140	- Estimated carryover from FY 2012-13
14	TOTAL REVENUES	20,652,944	5,535,000	-15,117,944	
15	EXPENDITURES:				
16	Salaries & Benefits	110,000	180,000	70,000	- For construction project support
17					
18	Services and Supplies:				
19	Liability Insurance	30,000	30,000	0	
20	Consulting Services:				
21	Negotiation Attorney	39,805	0	-39,805	- Rail line purchase completed in FY 2012-13
22	STB Filing and Legal Counsel	8,860	0	-8,860	- Rail line purchase completed in FY 2012-13
23	Closing Costs	12,000	0	-12,000	- Rail line purchase completed in FY 2012-13
24	Freight Service Consultant	11,022	0	-11,022	- Rail line purchase completed in FY 2012-13
25	Consultants for Rail Operations & Property Management	32,000	30,000	-2,000	- Estimated carryover from FY 2012-13
26	Haz Mat Investigation and Related Costs	10,000	10,000	0	
27	Title Insurance	8,500	0	-8,500	- Rail line purchase completed in FY 2012-13
28	Hazardous Materials and Pollution Insurance	200,000	0	-200,000	- Rail line purchase completed in FY 2012-13
29	Site Management Plan	30,000	30,000	0	
30	General Contingency	33,978	30,000	-3,978	- Estimated carryover from FY 2012-13
31	Engineering for improvements	521,779	0	-521,779	- Work completed in FY 2012-13
32	Construction Management Consultant	30,000	380,000	350,000	- For construction project management consultant services
33	Construction Legal Counsel	25,000	25,000	0	
34	Various Rail Line Improvements	5,350,000	4,820,000	-530,000	- Estimated carryover from FY 2012-13
35	Right of Way Acquisition	14,200,000	0	-14,200,000	- Rail line purchase completed in FY 2012-13
36	<i>Subtotal Services & Supplies</i>	20,542,944	5,355,000	-15,187,944	
37					
38	TOTAL EXPENDITURES	20,652,944	5,535,000	-15,117,944	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
HIGHWAY 1 ENVIRONMENTAL DOCUMENTS AND DESIGN
FY 2013-2014 BUDGET**

1 **HWY 1 ENVIRONMENTAL DOCUMENT & Design:** 722200

2 3 4 5	WORK ELEMENT #683	FY12-13 APPROVED 01/12/12	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
6	REVENUES:				
7	RSTP Exchange - HOV Lanes	1,761,231	796,248	-964,983	- Estimated carryover from FY 2012-13
8	CMAQ - HOV Lanes	0	0	0	
9	STIP - HOV Lanes	0	0	0	
10	Federal Earmark Aux Lanes: Design	0	0	0	
11	STIP - Aux Lanes: Design (carryover)	0	0	0	
12	RSTP Exchange - Aux Lanes: Design	14,825	0	-14,825	- Recommend programming to HOV Lanes project
13	RSTP Exchange - Aux Lanes: PA/ED	185,000	185,000	0	
14	TOTAL REVENUES	1,961,056	981,248	-979,808	
15	EXPENDITURES:				
16	Salaries and Benefits	150,000	200,000	50,000	- Draft environmental docs to be released, public outreach, comments, etc.
17	Services and Supplies:				
18	Hwy 1 HOV Lanes PA/ED:				
19	PA/ED Consultant - Nolte Contract	825,208	400,000	-425,208	- From reserve line for this fiscal year's costs
20	PA/ED on Call Consultants	3,500	3,500	0	
21	PA/ED Public Information, materials, postage & meetings	33,786	30,000	-3,786	- Estimated carryover from FY 2012-13
22	ROW Consultant	2,314	1,500	-814	- Estimated carryover from FY 2012-13
23	FHWA Project Value Analysis	0	0	0	
24	Sustainability Analysis (STARS)	0	0	0	
25	Reserve for future year expenses	746,423	161,248	-585,175	- To other lines for expenses in this fiscal year
26	Highway 1 Morrissey-Soquel Aux Lane PA/ED				
27	PA/ED Legal Costs	185,000	185,000	0	
28	Hwy 1 Morrissey-Soquel Aux Lane Design:				
29	Final Design and Engineering Consultants	0	0	0	
30	Design on Call Consultants	0	0	0	
31	Design Public Information, materials, Postage & meeting space	0	0	0	
32	PS&E Construction Admin Activities	0	0	0	
33	Pre-construction activities	0	0	0	
34	<i>Subtotal Services & Supplies</i>	1,796,231	781,248	-1,014,983	
35					
36	Unappropriated Revenues - HOV:	14,825	0	-14,825	
37	TOTAL EXPENDITURES	1,961,056	981,248	-979,808	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
HIGHWAY 1 CONSTRUCTION**

FY 2013-2014 BUDGET

1 **HWY 1 CONSTRUCTION:** 722200

2 3 4 5	WORK ELEMENT #683	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
6	REVENUES:				
6	STIP Construction	1,537,236	317,729	-1,219,507	- Majority of work completed in FY 2012-13
7	CMIA Construction	10,462,198	1,992,271	-8,469,927	- Majority of work completed in FY 2012-13
8	TOTAL REVENUES	11,999,434	2,310,000	-9,689,434	
9	EXPENDITURES:				
10	Salaries and Benefits	224,586	75,000	-149,586	- Majority of work completed in FY 2012-13
11					
12	Services and Supplies:				
13	Hwy 1 Morrissey-Soquel Aux Lane Construction:				
14	Construction contract	8,167,374	1,500,000	-6,667,374	- Majority of work completed in FY 2012-13
15	Supplemental construction activity	832,000	250,000	-582,000	- Majority of work completed in FY 2012-13
16	Traffic management	445,000	100,000	-345,000	- Majority of work completed in FY 2012-13
17	Traffic enforcement	145,468	35,000	-110,468	- Majority of work completed in FY 2012-13
18	Miscellaneous other	255,325	50,000	-205,325	- Majority of work completed in FY 2012-13
19	Construction management consultant	1,471,224	200,000	-1,271,224	- Majority of work completed in FY 2012-13
20	Construction design support	163,717	25,000	-138,717	- Majority of work completed in FY 2012-13
21	On Call Consultants	97,326	25,000	-72,326	- Majority of work completed in FY 2012-13
22	Contingency & Future Year Costs	197,414	50,000	-147,414	- Majority of work completed in FY 2012-13
23	<i>Subtotal Services & Supplies</i>	11,774,848	2,235,000	-9,539,848	
24					
25	Unappropriated Revenues	0	0	0	
26	TOTAL EXPENDITURES	11,999,434	2,310,000	-9,689,434	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING REVENUES SUMMARY
FY 2013-2014 BUDGET**

1 **PLANNING REVENUES:** 721600/721700/721750

SOURCES	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
REVENUES:				
TDA Planning	430,186	575,011	144,825	- From Apportionment Summary page & to help make up for AMBAG funding reduction
Rural Planning Assistance (RPA)	316,933	316,933	0	
STIP for Planning (PPM)	163,537	163,537	0	
RSTP Exchange - Eco Act, CTSC, & Bike Signage	120,824	81,024	-39,800	- Estimated FY 2012-13 carryover
FHWA - Earmark	220,000	180,000	-40,000	- Estimated FY 2012-13 carryover and funds to complete planning documents
FHWA - Planning (PL) - from AMBAG	250,411	100,000	-150,411	- AMBAG significantly reduced funding for work done by RTC to meet Fed requirements
New Freedom Grant	165,000	165,000	0	
Transit Professional Development Grant	13,568	0	-13,568	- Completed in FY 2012-13
Federal transit planning grant	1,649	0	-1,649	- Completed in FY 2012-13
Partnership Planning Grant	122,196	133,191	10,995	- Estimated FY 2012-13 Carryover
SCMTD	4,136	0	-4,136	- Completed in FY 2012-13
Coastal Conservancy	250,000	150,000	-100,000	- Estimated FY 2012-13 Carryover
SGC Grants (AMBAG & SC County)	42,584	13,927	-28,657	- Estimate for FY 2013-14
AB2766/Air District Funds:				
Bike Secure - RTC	0	0	0	
RTC Funds Budgeted	114,849	61,862	-52,987	- Estimate for FY 2013-14
TOTAL REVENUES	2,215,873	1,940,485	-275,388	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING EXPENDITURES
FY 2013-2014 BUDGET**

1 **PLANNING EXPENDITURES:** 721600/721700/721750

EXPENDITURES	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
Staff & Overhead by Program				
Plan Coordination	95,471	103,648	8,177	- Estimate for FY 2013-14
Unified Corridor Study	23,489	116,607	93,118	- Most work planned for FY 2013-14 and FY 2012-13 work shifted to this FY
Complete streets plan	47,584	34,095	-13,489	- Most work to be done in FY 2013-14
SC County sustainable comm and transit corridor plan	5,000	-	-5,000	- Anticipate completion in FY 2012-13
Work Program	30,000	30,000	0	
Public Information	-	15,000	15,000	- General public information not attributable to specific projects
Bicycle/Pedestrian Planning	47,929	50,429	2,500	- Estimate for FY 2013-14
Bike Secure	7,500	-	-7,500	- Completed in FY 2012-13
Bike Signage Plan	20,000	20,000	0	
Monterey Bay Sanctuary Scenic Trail (MBSST) Network	140,000	100,000	-40,000	- Anticipate completion in in middle of FY 2013-14
Specialized Transportation	48,459	50,959	2,500	- Estimate for FY 2013-14
Safe Paths to Transit	5,500	6,500	1,000	- Carryover from FY 2012-13
Regional Transportation Plan for MTP	233,450	233,450	0	
Regional Travel Demand Model	65,000	35,000	-30,000	- Most work to be done in FY 2013-14
On-board transit study	1,748	-	-1,748	- Completed in FY 2012-13
Transit Planning Intern grant	15,629	-	-15,629	- Completed in FY 2012-13
Transportation Improvement Program (TIP)	157,618	188,173	30,555	- STIP/RTIP programming and production in FY 2013-14
Highway & Roadway Planning	65,302	74,000	8,698	- Estimate for FY 2013-14
<i>Subtotal Staff and Overhead</i>	1,009,679	1,057,861	48,182	
Services & Supplies				
<u>Passthrough Programs</u>				
Bike To Work Program (Ecology Action)	50,000	50,000	0	
Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	0	
Safe Paths to Transit	160,000	160,000	0	
Bike Signage Program	61,024	61,024	0	
<u>Professional Services (contracts)</u>				
Sacramento Assistant	36,000	-	-36,000	- To help cover significant reduction in FHWA PI funding by AMBAG
Washington Assistant	44,600	44,600	0	
Engineering and Other Technical Consultants	70,000	50,000	-20,000	- Lower level of need anticipated
STARS for Regional Transportation Plan	51,800	-	-51,800	- Anticipate completion in FY 2012-13
On-board transit study	6,260	-	-6,260	- Completed in FY 2012-13
SC Metro for on-board transit study	1,105	-	-1,105	- Completed in FY 2012-13
MBSST Network Master Plan Consultant	310,000	210,000	-100,000	- Estimated FY 2012-13 carryover
Unified Corridor Investment Plan Consultant	103,405	50,000	-53,405	- Estimated FY 2012-13 carryover
<u>RTC Work Element Related Items</u>				
Bike Secure Program	-	-	0	
Traffic Monitoring services	70,000	15,000	-55,000	- One time data gathering efforts completed in FY 2012-13
Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	12,000	0	
MBSST Network Plan Outreach	20,000	20,000	0	
Transfer to Rail/Trail Authority	110,000	110,000	0	
<i>Subtotal Services & Supplies</i>	1,206,194	882,624	-323,570	
TOTAL EXPENDITURES	2,215,873	1,940,485	-275,388	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
PLANNING FUNDS SOURCE DETAIL
FY 2013-2014 BUDGET**

1 **PLANNING DETAIL:** 721600/721700/721750

2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
	EXPENDITURES	FY13-14 PROPOSED 4/11/13	TDA	RTC FUND	RPA	FHWA PL - AMBAG	SGC Grant (AMBAG)	SGC Grant County	STIP PPM	FHWA Earmark	Fed 5304 Part Plang	New Freedom Grant	Coastal Conserv	RSTPX
5	SALARIES, Benefits & Overhead													
6	Plan Coordination	103,648	9,422	-	84,226	10,000								
7	Unified Corridor Study	116,607	23,416	10,000	-	-					83,191			
8	Complete streets plan	34,095	14,677	5,491			13,927							
9	SC County sustainable comm and transit corridor plan	-	-	-										
10	Work Program	30,000	3,118	-	6,882	20,000								
11	Public Information	15,000	15,000	-	-	-								
12	Bicycle/Pedestrian Planning	50,429	5,429	-	20,000	-		25,000						
13	Bike Secure	-	-	-	-	-								
14	Bike Signage Plan	20,000	-	-	-	-								20,000
15	Monterey Bay Sanctuary Scenic Trail (MBSST) Network	100,000	-	-	-	-			100,000					
16	Specialized Transportation	50,959	6,375	-	44,584	-								
17	Safe Paths to Transit	6,500	1,500	-	-	-						5,000		
18	Regional Transportation Plan for MTP	233,450	80,396	-	80,021	50,000		23,033						
19	Regional Travel Demand Model	35,000	10,000	5,000	10,000	10,000								
20	On-board transit study	-	-	-	-	-								
21	Transit Planning Intern grant	-	-	-	-	-								
22	Transportation Improvement Program (TIP)	188,173	50,736	10,000	16,933	10,000		100,504						
23	Highway & Roadway Planning	74,000	19,713	-	54,287	-								
24	<i>Salaries, Benefits & Overhead Subtotal</i>	1,057,861	239,782	30,491	316,933	100,000	13,927	-	148,537	100,000	83,191	5,000	-	20,000
25														
26	Services & Supplies													
27	<u>Passthrough Programs</u>													
28	Bike To Work Program (Ecology Action)	50,000	50,000	-	-	-								
29	Bike & Ped Safety (Community Traffic Safety Coalition)	100,000	100,000	-	-	-								
30	Safe Paths to Transit	160,000	-	-	-	-					160,000			
31	Bike Signage Program	61,024	-	-	-	-								61,024
32														
33	<u>Professional Services</u>													
34	Sacramento Assistant	-	-	-	-	-								
35	Washington Assistant	44,600	44,600	-	-	-								
36	Engineering and Other Technical Consultants	50,000	30,000	20,000	-	-								
37	STARS for Regional Transportation Plan	-	-	-	-	-								
38	On-board transit study	-	-	-	-	-								
39	SC Metro for on-board transit study	-	-	-	-	-								
40	MBSST Network Master Plan Consultant	210,000	-	-	-	-			60,000				150,000	
41	Unified Corridor Investment Plan Consultant	50,000	-	-	-	-				50,000				
42														
43	<u>RTC Work Element Related Items</u>													
44	Bike Secure Program	-	-	-	-	-								
45	Traffic Monitoring services	15,000	-	-	-	-		15,000						
46	Printing RTP, RTIP, other Documents and Pub Info Materials	12,000	12,000	-	-	-								
47	MBSST Network Plan Outreach	20,000	-	-	-	-			20,000					
48	Transfer to Rail/Trail Authority	110,000	98,629	11,371	-	-								
49	<i>Subtotal Services & Supplies</i>	882,624	335,229	31,371	-	-	-	-	15,000	80,000	50,000	160,000	150,000	61,024
50														
51	TOTAL EXPENDITURES	1,940,485	575,011	61,862	316,933	100,000	13,927	-	163,537	180,000	133,191	165,000	150,000	81,024

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
STP EXCHANGE PROGRAM
FY 2013-2014 BUDGET

1 RSTP EXCHANGE PROGRAM: 722000

2 3 4	WORK ELEMENT #101	FY12-13 APPROVED 2/07/13	FY13-14 PROPOSED 4/11/13	DIFFERENCE	NOTE
5	REVENUES:				
6	State RSTP Exchange Funds	3,176,787		(3,176,787)	- Stimante from State not yet available
7	Interest	30,000	30,000	-	- Estimate
8	RSTP Exchange Funds Budgeted - Carryover	4,672,603		(4,672,603)	- Carryover to be determined at end of FY 2012-13
9	TOTAL REVENUES	7,879,390	30,000	(7,849,390)	
10					
11	EXPENDITURES:				
12	City of Capitola				
13	Clares Street Traffic Calming	100,000	-	(100,000)	- Assume project completion in FY 2012-13
14	38th Avenue Rehabilitation	438,000	-	(438,000)	- Assume project completion in FY 2012-13
15	City of Capitola Subtotal	538,000	-	(538,000)	
16					
17	City of Santa Cruz				
18	Broadway-Brommer Bike/Ped Path	62,000	-	(62,000)	- Assume project completion in FY 2012-13
19	Laurel St Pavement Rehab - Front St to Mission St	675,000	-	(675,000)	- Carryover to be determined at end of FY 2012-13
20	Branciforte Bike and Pedestrian Bridge	300,000	-	(300,000)	- Carryover to be determined at end of FY 2012-13
21	City of Santa Cruz Subtotal	1,037,000	-	(62,000)	
22					
23	City of Scotts Valley				
24	Scotts Valley Dr Slurry Seal and Restriping	225,000	-	(225,000)	- Carryover to be determined at end of FY 2012-13
25	City of Scotts Valley Subtotal	225,000	-	(225,000)	
26					
27	City of Watsonville				
28	Freedom Blvd Reconstruction (Broadis to Alta Vista Ave)	900,000	-	(900,000)	- Carryover to be determined at end of FY 2012-13
29	City of Watsonville Subtotal	900,000	-	(900,000)	
30					
31	County of Santa Cruz				
32	Corralitos Road Left Turn	278,000	-	(278,000)	- Assume project completion in FY 2012-13
33	State Park Drive Improvement	587,000	-	(587,000)	- Assume project completion in FY 2012-13
34	Calabasas Road Bike/Pedestrian	250,000	-	(250,000)	- Assume project completion in FY 2012-13
35	Soquel-San Jose Road Overlay	760,000	-	(760,000)	- Assume project completion in FY 2012-13
36	Soquel Dr. Overlay (Borregas Dr - State Park Drive)	700,000	-	(700,000)	- Assume project completion in FY 2012-13
37	Graham Hill Road Safety Project	16,714	-	(16,714)	- Assume project completion in FY 2012-13
38	Aptos Village Plan Improvements	690,000	-	(690,000)	- Carryover to be determined at end of FY 2012-13
39	Davenport Road Repairs	44,889	-	(44,889)	- Assume project completion in FY 2012-13
40	County of Santa Cruz Subtotal	3,326,603	-	(3,326,603)	
41					
42	SCCRTC				
43	Park and Ride Lot Program	130,000	-	(130,000)	- Carryover to be determined at end of FY 2012-13
44	Bike Route Signage	100,000	-	(100,000)	- Carryover to be determined at end of FY 2012-13
45	Highway 1 Soquel-Morrissey Auxiliary Lanes	386,000	-	(386,000)	- Carryover to be determined at end of FY 2012-13
46	Highway 1 HOV Lanes PA/ED	370,000	-	(370,000)	- Carryover to be determined at end of FY 2012-13
47	Freeway Service Patrol	135,000	-	(135,000)	- Assume project completion in FY 2012-13
48	Commute Solutions Rideshare	200,000	-	(200,000)	- Assume project completion in FY 2012-13
49	Santa Cruz Branch Rail Line Acquisition and Improvement	450,000	-	(450,000)	- Assume project completion in FY 2012-13
50	SCCRTC Subtotal	1,771,000	-	(1,771,000)	
51					
52	Unappropriated Revenues:				
53	Unprogrammed Funds	81,787	30,000	(51,787)	- Estimate
54	TOTAL EXPENDITURES	7,879,390	30,000	(7,361,890)	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
FUND BALANCES AND RESERVES
FY 2013-2014 BUDGET**

1

2 **FUND BALANCES & RESERVES:**

4/11/13

3 DESCRIPTION	TDA FUND (1)	RTC FUND (2)	RIDESHARE FUND (3)	RAIL/TRAIL AUTHORITY FUND (4)	HWY 1 PA/ED & ENG FUND (4)	HWY 1 CONSTR FUND (4)	SAFE OPERATING FUND (5)	FSP FUND (5)	RSTP EXCHANGE FUND (4)	STA FUND (6)	TOTAL ALL FUNDS
6											
7 Fund Balance 7-01-13	607,746	610,889		135,000	1,413,176	3,641	527,452	136,172	-	500	3,434,576
8 FY 2012-13 Surplus revenues budgeted	-										-
9 Revenues Budgeted to Reserves Fund	46,162										
10 Restricted Reserve carried over	(607,746)	(286,987)					(191,433)				
11 Budgeted Carryover from FY 2012-13	-	(162,831)		(135,000)	(1,413,176)		(112,300)	(31,000)	-	-	(1,854,307)
12 Subtotal Fund Balance	46,162	161,071	-	-	-	3,641	223,719	105,172	-	500	540,265
13 To Cashflow Reserve		(161,071)					(66,424)				(227,495)
14 To Restricted Reserve Fund	(46,162)	0					0				(46,162)
15 Unappropriated Revenues			10,900	-	-	-	-	-	30,000		40,900
16 Total Fund Balance	0	0	10,900	0	0	3,641	157,295	105,172	30,000	500	307,508

17

25,606

18 **Reserve Funds**

20 <i>Reserve Fund Target</i>	658,708	768,192	-	-	-	-	249,090				1,675,990
21											
22 <i>Cashflow Reserve (8%)</i>		161,071					66,424				227,495
23 <i>Restricted Reserve Fund (8% TDA; 22% others)</i>	653,908	286,987	-	-	-	-	191,433	-	-	-	1,132,328
24 <i>Total Reserve Funds</i>	653,908	448,058					257,857				1,359,823
25											
26 Reserve Fund Shortfall from Target	(4,800)	(320,134)	-	-	-	-	8,767	-	-	-	(316,167)

27

28

29 **Notes:**

30 - Numbers in parentheses are negative numbers. All other numbers are positive numbers.

31 - Funds within each category (column) are restricted for use on projects/programs within that category.

32 - **Fund Balance (7-01-13)** = Balances of funds not used at the end of prior fiscal year.

33 - **Budgeted Carryover** = Portion of Fund Balance used in current fiscal year budget.

34 - **Unappropriated Revenues** = Amount of revenues designated for specific projects/programs that likely will not be expended in FY12/13, but will be needed in future years.

35

36 (1) 8% reserve established in RTC Rules and Regulations for the TDA Fund

37 (2) 3.6 month (or 30%) operating reserve target proposed for the RTC Fund ; 2.1 months (or 17.5%) available in this proposed budget

38 (3) Reserve for the Rideshare fund is included with the RTC Fund

39 (4) Reserve funds not proposed for capital project funds

40 (5) 3.6 month (or 30%) operating reserve target proposed for the SAFE Fund to cover both SAFE and FSP operations

41 (6) This is a pass-through fund, all receipts are paid to Santa Cruz Metro.

42

43

44

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
STAFF POSITIONS
FY 2013-2014 BUDGET**

1				
2		FY12-13	FY13-14	
3	AUTHORIZED	APPROVED	PROPOSED	DIFFERENCE
4	STAFF POSITIONS:	2/07/13	4/11/13	NOTE
5				
6	POSITIONS	FTE	FTE	FTE
7				
8	Executive Director	1.00	1.00	0.00
9	Deputy Director	1.00	1.00	0.00
10	Fiscal Officer SCCRTC	1.00	1.00	0.00
11	Administrative Services Officer	1.00	1.00	0.00
12	Transportation Planner I-IV	8.00	8.00	0.00
13	Accounting Technician	0.50	0.50	0.00
14	Administrative Assistant I-III	1.75	1.75	0.00
15	Transportation Planning Technician	2.00	2.00	0.00
16	Paid Intern	0.50	0.50	0.00
17				
18	TOTAL POSITIONS	16.75	16.75	0.00
19				
20				
21				
22		FY12-13	FY13-14	
23	BUDGETED	APPROVED	PROPOSED	DIFFERENCE
24	STAFF POSITIONS:	2/07/13	4/11/13	NOTE
25				
26	POSITIONS	FTE	FTE	FTE
27				
28	Executive Director	1.00	1.00	0.00
29	Deputy Director	1.00	1.00	0.00
30	Fiscal Officer SCCRTC	1.00	1.00	0.00
31	Administrative Services Officer	1.00	1.00	0.00
32	Transportation Planner I-IV	7.25	7.25	0.00
33	Accounting Technician	0.50	0.50	0.00
34	Administrative Assistant I-III	1.75	1.75	0.00
35	Transportation Planning Technician	2.00	2.00	0.00
36	Paid Intern	0.50	0.00	-0.50 - Grant for position spent in FY 2012-13
37				
38	TOTAL POSITIONS	16.00	15.50	(0.50)

Note: FTE= full-time equivalent

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
TDA ALLOCATION BALANCES
FY 2013-2014 BUDGET**

CLAIMANTS	FY13-14 PROPOSED 4/11/13	UNSPENT PRIOR ALLOCATIONS	ADJUSTMENT	AVAILABLE FUNDS 4/11/13
SCCRTC				
TDA Administration	523,531			523,531
TDA Planning	515,011			515,011
Subtotal	1,038,542	-		1,038,542
SCMTD	6,061,222			6,061,222
Specialized Transit	595,488			595,488
Volunteer Center	70,891			70,891
City of Capitola	13,567	-		13,567
City of Santa Cruz - Non Transit	84,215	-		84,215
City of Scotts Valley	15,824	-		15,824
City of Watsonville	70,155	-		70,155
County of Santa Cruz	177,786	-		177,786
TOTAL	8,127,690	-	-	8,127,690

Note: Allocations for the Cities and the County use the most recent population figures from the Department of Finance

Glossary of Transportation Funding Terms Used in the SCCRTC Budget

- AB2766:** This bill authorized a Department of Motor Vehicles vehicle registration fee of up to \$4.00 to be used by air pollution control districts for planning and incentive programs to reduce motor vehicle emissions. The Monterey Bay Unified Air Pollution Control District (MBUAPCD) annually conducts a grant program to distribute approximately \$1.5 million in AB2766 funds in Santa Cruz, Monterey, and San Benito counties for this purpose. The SCCRTC has received AB2766 funds for some of its own programs, in addition to serving as a pass-through agency for grants used by some local non-profit organizations.
- AB3090 Loan:** Loan secured with an AB3090 designation from the CTC to be paid with funds eventually available to a project from the STIP.
- ARRA:** American Recovery and Reinvestment Act passed by Congress and signed by the President in 2009 as an economic stimulus package with funds for transportation projects
- CMAQ:** see Congestion Mitigation and Air Quality Improvement Program
- CMIA:** Corridor Mobility Improvement Account – a \$4.5 billion program for highway improvements authorized by Proposition 1B, a transportation bond measure approved by California voters in November 2006.
- Coastal Conservancy Funds:** State bond funds available for Coastal Conservancy projects through state ballot measures.
- Commission Reserves:** The SCCRTC maintains a Reserve Fund for its operations. Commission Reserve funds are budgeted as necessary to fully fund the operating budget or for special projects.
- Congestion Mitigation and Air Quality Improvement Program:** A federal funding program specifically for projects and programs which contribute to the attainment of a national ambient air quality standard. The SCCRTC programs CMAQ funds for Santa Cruz County. CMAQ funds for planning projects appear in the SCCRTC budget.
- c/o** Carryover. Funds carried over from prior fiscal years.
- DMV Fees:** Department of Motor Vehicles revenue, used by the SCCRTC for the Service Authority for Freeway Emergencies

(SAFE), see Service Authority for Freeway Emergencies funds for more detail.

Federal Earmark: Funds for specific projects secured by members of congress through federal legislation.

Federal Highway Administration (FHWA) Planning (PL) Funds from AMBAG: These funds are derived from one percent "off the top" of the funds available to each State for federal highway projects. PL funds are to be used for metropolitan planning. Funds are available for use by Metropolitan Planning Organizations (MPOs) and are allocated by a formula established by Caltrans in consultation with the MPOs. Caltrans is responsible as the "pass through" agency for administering PL funds. AMBAG passes through a portion of its FHWA PL funds to the SCCRTC for regional transportation planning purposes.

FHWA: Federal Highway Administration

Freeway Service Patrol (FSP) Grants: Caltrans annually grants funds to the SCCRTC to operate FSP services - a roving tow truck service which helps clear incidents on Highway 17 during peak travel periods. The SCCRTC has a Memorandum of Understanding with the San Francisco Bay Area Metropolitan Transportation Commission to contract for the FSP service for Santa Cruz County.

FSP: Freeway Service Patrol

FSP Funds: Funds designated in the annual state budget for FSP programs and distributed by a formula established in the FSP statutes.

Proposition 116: Clean Air and Transportation Improvement Act of 1990 passed by the voters in November 1990, provided bond funds for passenger rail and other projects including \$11 million for Santa Cruz County.

Regional Surface Transportation Program (RSTP): A federal funding program established by ISTEA to fund mass transit, highway, bicycle, pedestrian and local streets and roads projects. The SCCRTC programs STP funds for Santa Cruz County. Sometimes called RSTP for Regional Surface Transportation Program.

Regional Surface Transportation Program Exchange (RSTPX): The annual Federal Apportionment Exchange Program, administered by Caltrans, allows the SCCRTC the option to exchange all or a portion of its annual apportionment of Regional Surface Transportation Program (RSTP) funds for non-federal (State) funds. State funds have fewer

oversight requirements than Federal funds. The funds pass through the SCCRTC to the local jurisdictions and other eligible public agencies, and therefore appear in the SCCRTC budget.

Rideshare Funds: Funds specifically designated for the Rideshare program, oftentimes through the *Regional Transportation Improvement Program (RTIP)*.

RPA: Rural Planning Assistance

RSTP: Regional Surface Transportation Program

RSTPX: Regional Surface Transportation Program Exchange

Rural Planning Assistance (RPA): These state funds are allocated annually to regional transportation planning agencies in rural areas to support planning programs.

SAFE: Service Authority for Freeway Emergencies

Service Authority for Freeway Emergencies (SAFE) Funds: Under the provisions of state enabling legislation, the Santa Cruz County SAFE collects a \$1-per-year addition to the vehicle registration fee (listed as DMV Fees in the budget) to fund the capital, planning, maintenance, and operation of a call box system on Highways 1, 9, 17, 129, and 152 in Santa Cruz County. SAFE funds can also be used for changeable message signs (CMS), freeway service patrol (FSP) and other selected motorist aid systems.

SGC: Strategic Growth Council established in 2008 by SB732 and responsible for allocating grant funds used to implement AB32 and SB375

STA: State Transit Assistance

State Planning and Research Funds: These funds are awarded by the California Department of Transportation (Caltrans) annually on a discretionary basis.

State Transit Assistance (STA): State Transit Assistance funds are derived from statewide sales tax on gasoline and diesel fuel as

part of the Transportation Planning and Development (TP&D) program. Transit operations and capital improvement projects are eligible uses of STA funds. The SCCRTC receives State Transit Assistance funds and allocates 100 percent annually to the Santa Cruz Metropolitan Transit District (SCMTD).

State Transportation Improvement Program (STIP): A portion of State Transportation Improvement Program funds are allocated to our region for programming by the SCCRTC. STIP regional share funds programmed for SCCRTC projects, such as the Freeway Service Patrol, the Rideshare Program and Planning activities, appear in the SCCRTC budget.

STIP: State Transportation Improvement Program

STP: Regional Surface Transportation Program (RSTP)

STP Exchange: Regional Surface Transportation Program Exchange (RSTPX)

TDA: Transportation Development Act

Transportation Development Act (TDA): State law enacted in 1971. Local TDA funds are generated from a State tax of one-quarter of one percent on all retail sales in the county. Revenues are allocated annually by the SCCRTC to support transportation planning and administration, bus transit, transportation for the elderly and handicapped, and bikeway and pedestrian projects.

Transportation Development Act (TDA) Reserves: This reserve fund is maintained in order to provide the full TDA allocations to TDA recipients in the event there is a shortfall in actual versus projected TDA revenues. Occasionally some TDA Reserve funds are allocated to a special project.

Transportation Development Act (TDA) Estimates and Revenues

	FY 06-07	FY 07-08	FY 08-09	FY 09-10	FY 10-11	FY 11-12	FY 12-13	FY 12-13	FY 1-13	FY 12-13	FY 12-13	FY 13-14
Month	Actual Revenue	Actual Revenues	Auditor Controller Estimate	Actual Revenue	Difference of Actual to Estimate	Difference as % of Estimate	Cumulative % of Actual to Estimate	Auditor Controller Estimate				
JUL	516,100	543,200	570,200	454,800	410,500	499,800	499,800	533,900	34,100	6.82%	106.82%	560,595
AUG	688,100	724,300	760,200	539,000	547,300	666,400	666,400	711,800	45,400	6.81%	106.82%	747,390
SEP	795,777	863,255	634,334	719,093	819,955	699,895	699,895	718,257	18,361	2.62%	105.24%	754,170
OCT	522,100	599,500	567,100	490,500	458,300	486,400	486,400	556,500	70,100	14.41%	107.14%	584,325
NOV	1,062,100	799,300	756,100	555,900	611,000	648,500	648,500	742,000	93,500	14.42%	108.71%	779,100
DEC	644,010	638,280	700,859	625,785	776,432	804,308	804,308	733,930	-70,378	-8.75%	105.02%	770,626
JAN	584,700	583,600	538,600	465,300	502,700	510,100	488,844	534,300	45,456	9.30%	105.51%	561,015
FEB	777,600	778,200	590,700	620,400	670,300	680,100	651,792	712,400	60,608	9.30%	106.01%	749,739
MAR	583,478	543,629	578,624	607,400	510,760	625,667	638,135	632,278	-5,858	-0.92%	105.22%	689,732
APR	488,600	494,300	432,400	385,100	412,600	441,300	404,586					486,487
MAY	651,500	659,000	464,400	562,700	605,300	588,400	591,173					648,649
JUN	734,297	652,069	606,615	605,859	631,912	756,557	636,515					834,025
TOTAL	8,048,362	7,878,633	7,200,133	6,631,837	6,957,059	7,407,427	7,216,348	5,875,364	291,289	4.04%	81.42%	8,165,852
% Change		-2.11%	-8.61%	-7.89%	4.90%	6.47%						